

Public Document Pack

Southend-on-Sea Education Board

Tuesday 21st June 2022

I confirm that a meeting of the Education Board (formerly the Schools Forum) will be held on **Tuesday 21st June 2022 at 8.15am.**

The meeting will be held at the Tickfield Centre, in the Johnson Room.

Robert Harris
Clerk to the Forum

A G E N D A

Agenda	Item	Lead	Time
1.	Apologies for absence	RH	5mins
2.	Minutes of the meeting held on Tuesday 18th January 2022 Minutes attached	Chair	5mins
3.	Matters arising (not covered elsewhere on the agenda)	Chair	10mins
4.	Education Board Membership and Vacancies Verbal report (no papers)	RH	10mins
	<u>Schools Forum Matters</u>		
5.	Dedicated Schools Grant 2021-2022 Final Outturn Report for decision attached	PG	30mins
6.	Access to Suitable Education Report for decision attached	CB	20mins
7.	Inclusion: Implications from the Green Paper and Directors Briefing Report for decision attached	BM	20mins

8.	DSG High Needs Budget Allocation Report 2022-2023 Report for decision to be attached	PG	20mins
	<u>Education Board Matters</u>		
7.	Matters of the Moment Verbal report from Director of Education and Early Years (no papers)	BM	20mins
8.	Report back from Sub Groups a) Resources Sub-Group held on 7 th June 2022 – Minutes attached b) Vulnerable Learners Sub-Group held on 28 th April 2022 – Minutes attached c) School Performance Sub-Group held on 9 th March 2022 – Minutes attached	Chairs	15mins
9.	Any other business (to be notified to the Chair/Clerk prior to the start of the meeting)	ALL	10mins
10.	Date and times of future meetings 18 th October 2022 at 8.15am 20 th December 2022 at 8.15am 17 th January 2023 at 8.15am 21 st March 2023 at 8.15am *June 2023 date to be advised	RH	5mins

Southend-on-Sea City Council

Executive Director of Finance and Resources
Executive Director of Children and Public Health
to
Education Board

On
21st June 2022

Report prepared by:
Paul Grout, Senior Finance Business Partner

Agenda
Item No.

5

Final Outturn for Dedicated Schools Grant 2021/22

1 Purpose of Report

To update the Education Board on the Dedicated Schools Grant (DSG) final outturn for the 2021/22 schools budget, high needs, early years and centrally retained.

2 Recommendations

Education Board (EB) are asked to:

- 2.1 Note the final 2021/22 outturn.
- 2.2 And agree the subsequent DSG reserve balances shown for each individual funding block to be carried forward into 2022/23 (as referenced in 4.19).

3 Background

- 3.1 This report sets out the final outturn (spend position) compared to the 2021/22 DSG budget set for schools, high needs, early years, central and the subsequent resulting position for DSG reserve balances.

4 2021/22 Dedicated Schools Grant budgets and final outturn

- 4.1 Appendix 1 provides the detail of the allocated DSG Budget, final outturn and final variance for the schools block, high needs, early years, central and DSG income.
- 4.2 The budget and outturn are presented as gross figures which include allocations which are recouped from the Schools and the High Needs Block by the Department for Education (DfE) in order to pass funding directly onto academies, including high need place funding for free schools and further education colleges. This report summarizes the final spend variances to budget.

Schools Block total – £51,518 in year overspend, of which:

Schools Block individual school block allocations – (£11,363) underspend

- 4.3 Individual school block allocation reflects the DSG sum available and distributed to schools in accordance with the principles agreed in the January 2021 EB Budget paper. The underspend balance of (£11,363) reflects the reduced in year business rate reduction applied that the DSG is able to retain when a maintained school converts to an academy status during that year. This is applicable to 1 maintained school conversion in 2021/22. It is simply proposed at this current time that the underspend is held within the DSG reserve balances against the Individual Schools Block.

Schools Block De-delegated – staff cover costs (Public duties) - £2,002 overspend

- 4.4 A small in year overspend of £2,002 on the small fund supporting Public Duties. Which reflects the final net expenditure claim balance after 4 academy buy ins in addition to the maintained schools de-delegated sum. This small overspend will automatically fall to the isolated DSG reserve balance for de-delegated public duties, reducing the previous positive balance of £14,208 (at the 1st April 2021) to now £12,206 as at 31st March 2022. The fund remains in positive balance but as always it will just need annual consideration to the applicable de-delegated per pupil amounts for coming up 2023/24 and buy in rates. As per the agreed DSG paper of December 2021, the de-delegated sum for 2022/23 and academy buy in rate is set at £0.50p per pupil for each applicable school.

Schools Block Growth – £60,879 overspend

- 4.5 As previously forecast and explained in detail in the March 2019 EB DSG growth fund paper alongside the 2021/22 rates updated and as shared in the January 2022 EB DSG budget paper, the distribution of available growth funding (funding for new intake classes) from 2019/20 is now modelled over the planned life of the growth. Therefore this 2021/22 overspend will be funded through the isolated DSG reserve balances for growth.

Early Years Block – (£339,775) underspend against the latest provisional Early Years DSG funding allocation

- 4.6 The early years block DSG funding for 2021/22 remains provisional until the DfE have updated the final funding allocations for the spring term of 2022 based on the January 2022 early year census, expected to be announced in July 2022. Although note as previously shared there has been a change this year in 2021/22 due to the Covid-19 Pandemic, whereby funding for both the summer term of 2021 and autumn term of 2021 have been based on additional early years census's within those terms. From 2022/23, the DfE have announced they will return to the traditional annual January early years census to determine the annual early years funding allocations.
- 4.7 On the 27th January 2022, the DfE announced the updated provisional 2021/22 Early Years funding allocation for both the summer and autumn terms of 2021, and this is shared and displayed in Appendix 2 col. D. You will note, as shared in Appendix 2, the DfE provisional early funding allocation for 2021/22 has been reduced by (£0.667M) from a total previous £10.773M early years funding allocation. This funding reduction is no surprise and was first shared as expected in the December 2021 DSG EB paper, whereby it was noted that due to Covid-19 there had been a reduction in both 3&4 year old universal and extended entitlement claims now a 7-9% take up reduction pre-Covid19 funding levels and an increase of now 63% for Early years pupil premium claims. It is ofcourse hoped that the uptake of Early Years funding will increase over and above pre-pandemic funded levels. This will also be assisted by the additional EB agreed use of one off reserves paper as shared in the January 2022 by the Head of Early Years.
- 4.8 Although the 2021/22 provisional funding has been reduced, it does need to be noted the DSG early years balances have still returned a collective and current positive in year underspend of (£0.340M) or equivalent 3% of total indicative DSG early years funding for 2021/22. It is not currently expected that the January 2022 Early Years census once processed by the DfE will materially change the current 2021/22 Early years funding allocation, therefore this underspend is really due to both the summer and autumn term of 2021 and there will always be small fluctuations between what was finally paid out, including all providers being paid for what was expected to be paid for that term to the respective census' for that term for funding in, which are taken and captured at an earlier point within that term. We also need to remain minded to the fact that Covid-19 has remained a significant factor and presence during 2021/22 but also given the DfE moving forward are going to re-base Early Years funding allocations back to the traditional annual January census's there is little to be gained from really drilling down into these relatively % wise small underspends. They are ofcourse positive as they further fall to support the DSG Early Years balances and therefore wider Early years community, but as previously shared we continue to hope to see funding uptake increase and as always this will be monitored and reported moving forward.

- 4.9 It also has to remain noted, that Southend's Early Years funding allocations and payments are now administered and have been over the last 3 years on a very robust and efficient monthly payment process, which includes Early Years providers portal access and checking facilities, and this has also significantly assisted management of early years DSG funds during the Covid-19 pandemic.

High Needs Block – (£1,575,571) underspend against allocated service line expenditure. Full underspend of (£3,553,008) including the permanent funding held aside to support long term permanent growth and expansion in high needs.

- 4.10 Appendix 1 – displays the full 2021/22 final outturn for high needs on a line by line basis. As referenced in both the previous June 2021 and December 2021 EB DSG papers, an underspend on High Needs funding for 2021/22 was expected of which has increased by the end of the year financial year position by a further (£0.592M) or equivalent 2.3% of the total High Needs budget £25.516M.
- 4.11 It must remain noted, that many Local Authorities and School Forums across the country are struggling to contain their High Needs spend within their DSG funding allocations, including Authorities that now have high deficits within their DSG's and therefore effectively within requiring financial recovery (a position Southend was in previously and up to and including 2018/19). It is therefore incredibly welcome and pleasing, that locally through the combined efforts of the Local Authority, Education Board and respective Schools we have now been able to contain spend within the increased DfE funding allocations, including the awarding of growth on EHCP banded top rates, expanding provision and we do still benchmark above national averages for the number of EHCP's. The additional permanent funding received from the DfE is ofcourse much needed, and enables High Needs funding locally to expand further in line with demand and the strategic intent for further expansions as set within the June 2021 EB DSG paper, and will continue into the following June 2022 EB DSG paper on a permanent basis. The administers of that expansion remain with the Local authority leads for Head of SEND and Head of Access and Inclusion, through the ultimate governance of the Education Board and Director of Education and Early Years.

4.12 The following table summarises the current final spend to budget position for 2021/22 alongside previous forecasts.

Summary Heading	2021/22 Final Budget (A)	2021/22 Opening Forecast (June 21)	2021/22 Revised Forecast (Dec 21)	2021/22 Final Spend (B)	2020/21 Final Spend
Place funding	£8.304m	£8.188m	£8.217m	£8.197m	£7.854m
Special and PRU/AP top up funding	£6.781m	£6.770m	£6.758m	£6.615m	£5.502m
Subtotal	£15.035m	£14.958m	£14.957m	£14.812m	£13.356m
Schools, early years, post-16 top up funding	£4.480m	£4.480m	£4.100m	£4.040m	£3.718m
Independent Providers	£1.950m	£1.950m	£1.750m	£1.533m	£1.400m
Other Provisions including SLA's	£2.024m	£1.907m	£1.729m	£1.578m	£1.444m
Total services line total	£23.539m	£23.295m	£22.554m	£21.963m	£19.918m
Funding allocations to support required future permanent growth in high need provision	£1.800M				
Remaining balance held aside from service provision to support any in year high need funding pressures	£0.177m				
Total	£25.516m	£23.295m	£22.554m	£21.963m	£19.918m

4.13 To remind, 2021/22 saw our local DSG high need funding grow by a further circa additional £2.9M through the high needs funding formulae, as part of the government's three financial year funding settlement for Schools and High Needs funding covering the financial years 2020/21, 2021/22, 2022/23.

4.14 As reflected in 4.12 (and in full in Appendix 1) and as presented in the June 2021 DSG High Needs paper, it was always recognised new permanent funding of £1.8M had been held aside to support the expansion of permanent growth within the high needs block until mobilized. An element of this permanent growth has naturally been considered within the following June 2022 DSG High Needs paper where more detail will be covered.

4.15 Therefore focusing on the main reasons for the (£1.576M) underspend against service allocations:

4.15.1 We must first start with the independent provider provision. There is always spend volatility in high needs Independent provision budget due to the demand led nature of these budgets and market prices, where 3 or 4 additional residential school placements can add significant additional cost. It is therefore welcome, that for 2021/22 spend has been contained within this funding

allocation, and gives rise to a now hopefully sufficient budget allocation moving forward on that basis.

- 4.15.2 Mainstream Schools, early years, post-16 top up funding. As previously highlighted we continue to benchmark above national averages for EHCPs. As displayed in Appendix 1, there is not one particular area that has significantly underspent but more of a collective between inborough Mainstream Schools and post 16 providers. Again, this supports effective budget management within services (and the SEND team) of the new EHCP Banded Model from September 2021, and management of Post 16 provision.
- 4.15.3 Other Provisions including SLA's. As displayed in Appendix 1, the main area's of underspend are the Hospital Education provision and Inclusion Services. Again required Hospital Education provision will be simply based on the need and demands during that year, and obviously welcome that in 2021/22 spend has been lower than budget. The remaining material underspend is on Inclusion Services. It needs to be recognised, (as highlighted at the June 2021 EB), there have been changes to this provision and it has taken some time to mobilize the full spend in 2021/22, mostly related to under expected utilization of Schools requested use of Inclusion panel funding alongside some schools demonstrating full use with their notional Mainstream SEND funding first. There has also been no need spend against the additional education Inclusion Services budget of £60,000 (as agreed in the June 2021 DSG High Need Paper) for vulnerable pupils found to be missing from education or unable to sustain a placement in school and where placement at the PRU would not be appropriate for the child's needs, which is good news, simply in terms of the Interim Tuition Service have managed these cases in 2021/22.
- 4.16 The High Needs underspend for 2021/22 will naturally fall to support the DSG High Need reserve balances, and we need to remain minded of the now agreed approach as set at the October 2021 EB DSG paper whereby one off DSG reserve balances above the minimum recommend level of reserve can be used to support DSG High Needs one off spend with the appropriate agreed governance around that use. Therefore the now updated DSG balances and reminder of agreed approach for the use of one off balance is updated and displayed in paragraph 4.19.

Central block – (£295,964) underspend

- 4.17 A net underspend on the central block, the majority of which falls within the "contributions to combined budgets with the Local Authority". As explained in depth in the October 2021 EB DSG paper (and previous December 2020 EB DSG paper), a (£149,000) of this underspend was pre-planned in 2021/22 to support the unfortunate DfE unwinding of funding supporting Historic Commitments moving forward, and therefore seeking to sustain that remaining provision now as long as possible. A further underspend has been achieved within School Improvement, in part impacted by Covid-19 but also reduced commitments within 2021/22 to enable further support on a long term basis. Therefore given, the continued and expect funding reductions from the DfE for Central Block historic commitments, in terms of a financial position this is a welcome medium term position as this will further increase the Central Block

DSG reserves providing further buffer whilst that funding continues to unwind. On the basis the DfE continue to unwind funding at a rate of 20% of the previous year's funding allocation, this allows the local authority to continue with now current combined commitments funded from the DSG up to and including now the financial year 2025/26.

DSG funding income – £45,322 under recovery

- 4.18 As explained in depth in the Dec 2021 EB DSG paper, a relatively small difference between the estimated DfE reclaim figure for the 2020/21 DfE Early Years funding adjustment and final adjustment processed.

Overall Position for the 2021/22 DSG Final Outturn and reserve balances as at the 31st March 2022

- 4.19 As displayed in Appendix 1 and the table below this now reflects the final DSG reserve balances as at the 31st March 2022 which are carried forward to the 1st April 2022. It remains minded, the reserve balance table now also includes as formally agreed through the EB DSG October 2021 paper, the presentation of recommended minimum reserve balances for each block, and where reserve forecast balances are now above those minimum recommended balances the following DSG expenditure conditions apply for the one off use of those reserve balances, with now note to both the reserve balances of Early Years and High Needs:
- 4.19.1 That any one off cumulative use of reserve balances below £50,000 in any one financial year has the required LA lead officer approval to proceed, but on the conditional basis this is reported through to EB.
- 4.19.2 That any single expenditure item or where the cumulative use of reserve balance was to fall above £50,000 in any one financial year then EB approval is firstly required. If the item or the matter is urgent, then approval can be given by an urgent virtual EB agreement but with a subsequent note to the actual and following scheduled EB meeting for formal minuting.

Block	Schools – ISB	Schools - growth	Schools – de- delegate	Early Years	High Needs	Central	Total
	£'000	£'000	£'000	£'000	£'000	£'000	£'000
Reserves surplus / (deficit)							
1 April 2021 B'fwd	0	182	14	1,105	3,003	177	4,481
Agreed Issue to 2021/22 budget	0	0	0	(112)	(60)	0	(112)
Early years funding adjustment 2020/21	0	0	0	(45)	0	0	(45)
2021/22 current forecast variance	11	(61)	(2)	340	3,553	296	4,137
31 March 2022	11	121	12	1,287	6,496	473	8,401
Agreed Issue to* future yr budgets				(334)	(150)		(484)
Recommended* Minimum Reserve balance	0	121	12	500	2,301	473	3,407
One off Funding Available for DSG Conditional Use				453	4,045		4,498

Agreed (issue to budget for future years 22/23 and 23/24) - 22/23 HN draw down agreed at the December 2021 Education Board, 22/23 EY draw down agreed at the January 2022 Education Board

*Recommended Minimum Reserve balances, were agreed and currently set at 5% for Early Years and 8% of current total in year funding available for both of those blocks. Both these recommended minimum reserves %'s are subject to review and agreement should we see any funding pressures materialize in future years. All other block reserves balances are ring fenced to support those reserve block positions, particularly with note to the Central Block reserve that will be fully utilized to support the unwinding of DfE DSG commitments to Central Block Historic commitment services.

5 Conclusion

5.1 A very welcome closing 2021/22 financial position for DSG balances now returning a final DSG surplus reserve balance of £8.401M (equivalent 4.9% of total 2021/22 DSG funding £170.936M) to be rolled forward into 2022/23.

5.2 A healthy and sustainable financial DSG reserve position continues to benefit and maximize available future funding to support all children and their educational development.

6 Appendices

Appendix 1 - DSG Final Budget to Spend Outturn 202122

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Appendix 2 - Early Years 2021/22 Updated Budget Allocation

	C					E			D					F		D - C		F - E
	2021/22 Original Budget			2021/22 Updated Budget		2021/22 Updated Budget			D - C		F - E							
	Funded rate in per hour	Indicative Budgetted PTE	Total Funding in £'000	Funded rate out per hour	% expected take up of core allocation	Indicative Budgetted PTE	Total Funding out £'000	Funded rate in per hour	Indicative Budgetted PTE	Total Funding in £'000	Funded rate out per hour	% expected take up of core allocation	Indicative Budgetted PTE	Total Funding out £'000	PTE Change (decrease) / Increase	And as a %	Change in DfE Funding allocated (decrease) / Increase £'000	
3 & 4 year old universal core	4.54	2,748	7,112	4.38	100%	2,748	6,861	4.54	2,560	6,625	4.38	100%	2,560	6,392	(188)	(6.8%)	(470)	
3 & 4 year old deprivation attached to universal				0.44	20%	550	138				0.44	20%	512	128			(9)	
3 & 4 year old centrally retained to support universal				0.07	100%	2,748	113				0.07	100%	2,560	105			(8)	
Subtotal			7,112				7,112			6,625				6,625			(487)	
3 & 4 year old extended entitlement core	4.54	836	2,163	4.38	100%	836	2,087	4.54	761	1,969	4.38	100%	761	1,900	(75)	(9.0%)	(187)	
3 & 4 year old deprivation attached to extended entitlement				0.44	20%	167	42				0.44	20%	152	38			(4)	
3 & 4 year old centrally retained to support extended entitlement				0.07	100%	836	34				0.07	100%	761	31			(3)	
Subtotal			2,163				2,163			1,969				1,969			(194)	
3 & 4 year old Early years pupil premium	0.53	325	98	0.53	100%	325	98	0.53	529	160	0.53	100%	529	160	204	62.7%	62	
2 year old core	5.40	436	1,343	5.36	100%	436	1,333	5.40	421	1,295	5.36	100%	421	1,285	(15)	(3.5%)	(47)	
2 year old centrally retained to support 2 year olds				0.04	100%	436	10				0.04	100%	421	10			(0)	
Subtotal			1,343				1,343			1,295				1,295			(48)	
Grand Total			10,716				10,716			10,049				10,049			(667)	
3&4 year old Disability Access Fund (DAF) - Paid in and out at £615 per child, expecting 93 children eligible			57			57	57			57			57	57			-	
			10,773				10,773			10,106				10,106			(667)	
Local Passport % directly through for 3& 4 year old funding (excludes centrally retained)							98.41%							98.41%				
Department for Education minimum requirement for direct passport rate through to Providers 3 & 4 year old funding							95%							95%				

Southend-on-Sea City Council

Executive Director of Children and Public Health
to
Education Board
On
21st June 2022

Agenda
Item No.

6

Report prepared by:

Catherine Braun, Head of Access and Inclusion
In consultation with:
Paul Grout, Senior Finance Business Partner

Access to Suitable Education

1 Purpose of Report

To update the board on the increased pressures and need for expanded provision of suitable education and oversight for children and young people of compulsory school age (CSA) electively home educated or unable to access education at school due to health needs of otherwise.

2 Recommendations

- 2.1 To note the increased number of children electively home educated and to agree additional DSG High Need funding of £174,000 to increase staffing capacity to provide oversight of the quality of suitable education from Sept 22. (As referenced in section 4).
- 2.2 To note the increased volume of referrals for children unable to access education at school and to agree additional DSG High Need funding of £168,000 to increase staffing capacity to provide additional tutors and educational provision, oversight and support within schools from Sept 22. (As referenced in section 5).

3 Background

Elective Home Education:

- 3.1 Local authorities (LA) must make arrangements to find out so far as possible whether home educated children are receiving suitable full-time education (s436A Education Act 1996).
- 3.2 Currently in the City of Southend this involves keeping two registers, one of the children where parents have registered with the LA and agree to share information of their child's educational progression and achievements and an unregistered list, where the LA are aware of the child being home educated but parents have chosen not to register their details with the LA and where contact may be sporadic.

3.3 Both nationally and locally numbers of home educated children have risen year on year. The most substantial increase was linked to COVID-19 and the return to all schools reopening in September 2020. Academic year 2020/21 saw 554 children being home educated throughout the year compared with 390 the previous year (an increase of 42%). Although the increase hasn't been as high this academic year the numbers continue to rise, due to many children not returning to school once the concerns linked to COVID had reduced. We anticipate that over 600 children will have been known to be home educated this academic year before the end of the summer term.

Interim Tuition Service:

3.4 Councils must “make arrangements for the provision of suitable education at school or otherwise than at school for those children of compulsory school age (CSA) who, by reason of illness, exclusion from school or otherwise, may not for any period receive suitable education unless such arrangements are made for them.”

3.5 Suitable education is “suitable to a child’s age, ability and aptitude including any special educational needs”

3.6 Southend City Council previously commissioned the Interim Tuition Service through Parallel Learning Trust and later Southend Adult Community College to deliver a responsive service to meeting the above requirements through tuition and alternative provisions.

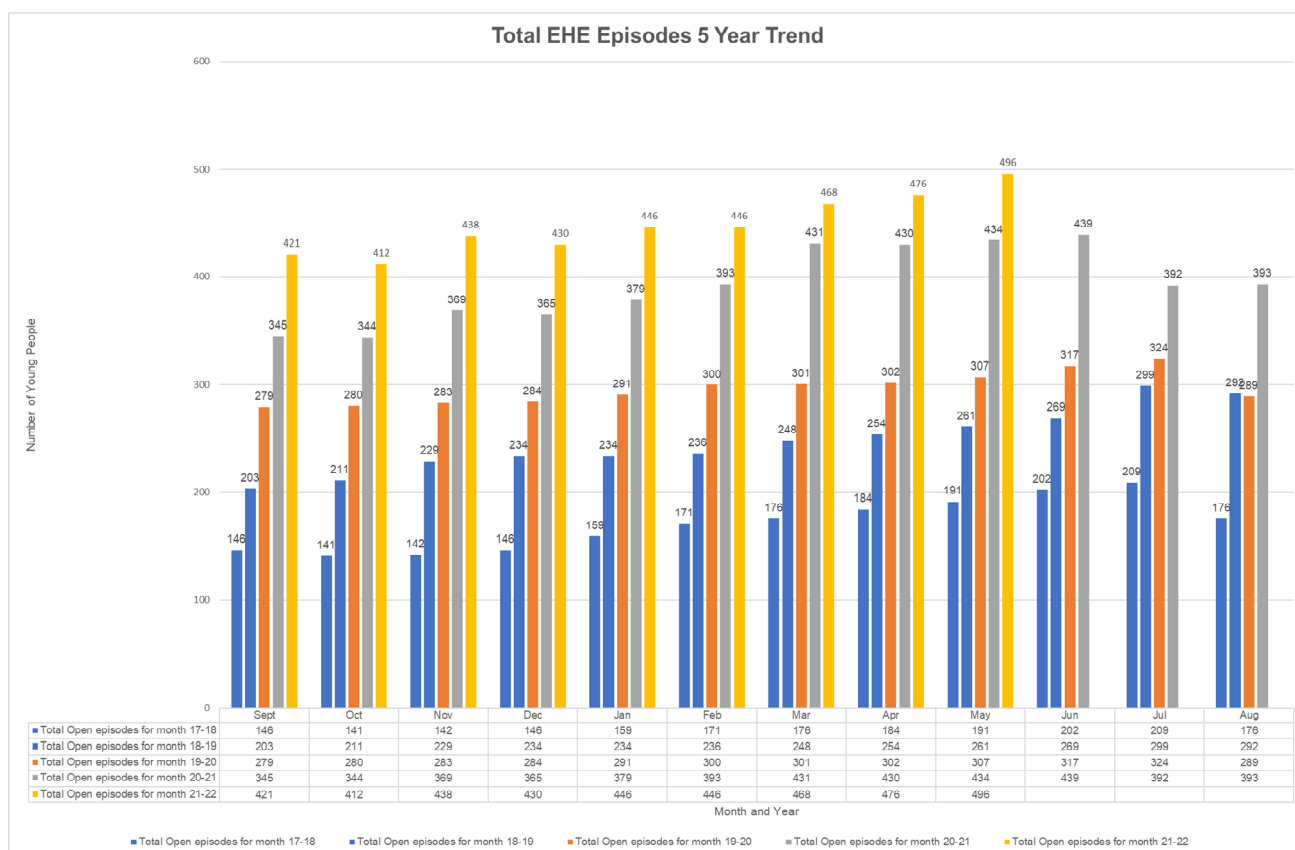
3.7 The service was brought in-house under Access and Inclusion in September 2021 after an unplanned service termination in July 2021. The three tutors (two full time and one part time), TUPE'd over to the LA, along with the 10 AV1 avatar units used by the service. An additional 5 units were already held by Access and Inclusion for rental purposes by schools for early intervention and inclusion within schools.

3.8 As with numbers of EHE, those requiring interim tuition has also seen a dramatic increase since 2020/21, again linked to COVID-19 and increases of children with high anxiety and mental health needs preventing regular attendance at school. 2020/21 saw a 75% increase from 28 cases to 49 open during the academic year.

4 Elective Home Education (EHE)

4.1 In June 2020, the Education Board agreed the budget provision of £35,000 to support Elective Home Education (EHE) providing funding for a part time dedicated officer, and in June 2021, the Education Board agreed an additional £12,000 to support EHE Year 11 GCSE examination costs. Due to increased need the LA increased the LA officer time from 0.6 to a full time (FTE) role in September 2020 utilising existing budgets which isn't sustainable, and is insufficient in meeting current demand. An additional 0.3 FTE has been supporting the work since Jan 2022 but this has been taken from another area of Inclusion (Outreach Teacher) and isn't sustainable. Neither does it meet the demand in increases in numbers and ability to assess suitable education for all children.

4.2 The below chart demonstrates a 17.8% increase in numbers this academic year alone, with a 239.7% increase since September 2017.



4.3 The LA aims to contact all newly identified EHE parents within 5 working days from notification, and ideally meet face to face if the family allows within 10 working days. This is to assess a parents understanding of their responsibilities to provide a suitable education and understand their plans and intentions for the year.

4.4 Where there are no identified concerns, a family will be contacted again in 12 months' time, inviting parents to share their child's progress, examples of work and plans for the next year ahead. Where there are concerns for a child's education or where it is deemed unsatisfactory, a family is contacted more regularly depending upon need (every 4 weeks to 2 months).

4.5 To provide support, advice, information and guidance to families throughout the year, EHE events were held prior to COVID and termly newsletters sent out to families.

4.6 Due to events needing to be cancelled, newsletters increased to monthly during COVID, with continued visits for children open to social care. Once social distancing restrictions allowed, EHE drop-in sessions were held throughout the borough on a termly basis and newsletters reduced to half termly. EHE events recommenced this term and are planned to run every term from now on. This did mean that the £5,000 resource budget for events and engagement activities was not utilised in the last financial year.

- 4.7 For the first time, EHE also had a budget of £12,000 to provide at least 3 GCSE examination entries for each year 11 student in low income families (eligible for Free School Meals). Although this was advertised, and eligible families contacted directly, only one candidate was enrolled for 2 GCSE examinations this summer, leaving this section of the budget underspent.
- 4.8 With numbers of active EHE cases being so high, officers are unable to meet our commitments to parents and statutory duties to ensure suitable education. Where possible education has been reviewed during drop-ins enabling higher numbers to be seen over a shorter period but doesn't allow officers to view a child's place of education and how suitable their learning environment is.
- 4.9 Government confirmed their intentions to make registration compulsory for all home educated children, however legislation has yet to be passed enforcing this requirement. When this is passed, currently 'unknown children' will need to be registered by parents, increasing further the number of children known to be home educated in the local area.
- 4.10 In addition, government is proposing that Councils must provide advice and support to families for those that seek it. This is yet to be defined.
- 4.11 Currently there are no firm plans to enforce that the child must be seen by the LA or their place of learning. Neither are there plans for a definition of suitable education or minimum curriculum criteria, or that parents are obliged to share their child's education and examples of progress with the LA.
- 4.12 Due to the increased numbers of children and predicted further increases when registration comes into force, the Council must increase staffing capacity in order to meet with children and parents and increase opportunities for providing support and advice.
- 4.13 The proposed increase is to retain the Lead EHE officer (currently L8 and subject to job evaluation), appoint 2 full time EHE Advisory Teachers (QTS) and appoint a full time L6 EHE Access officer. We also wish to retain the resource budget for events, incentives and curriculum sessions, and retain the GCSE examination budget for those eligible for FSMs.
- 4.13.1 The officer role will enable support to schools where parents are voicing a desire to remove from roll to home educate and make early contact to ensure they fully understand their responsibilities and identify any barriers to remaining at school. They will also provide the initial contact to new referrals and support the events and drop-ins.
- 4.13.2 EHE Advisory Teachers will undertake annual conversations with parents and children, have experience and knowledge relating to children with special educational needs and lead on information events and newsletter sections on specific topics and linked curriculum areas for different key stages.
- 4.13.3 The Lead EHE officer will lead and supervise the team whilst also contacting families where education is deemed unsatisfactory and provide hands on advice and support, whilst pursuing formal interventions for those where education is deemed unsuitable and requires a School Attendance Order. The lead will also

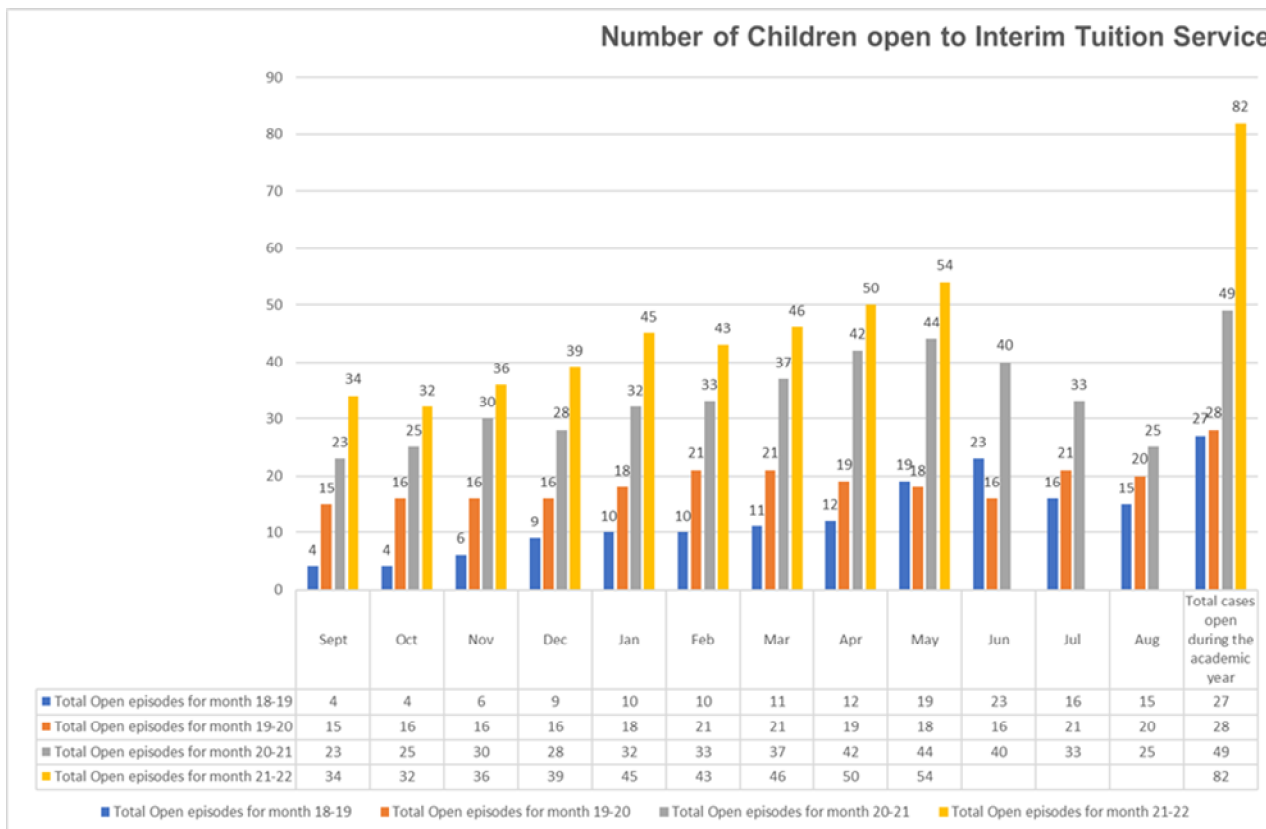
be responsible for parent engagement, along with updating policy and guidance documents, ensuring they are accessible and meet the requirements of educating families.

- 4.14 This increases the current EHE budget from £47,000 to £221,000 an increase of £174,000

2022/23 Budget Predictions based upon current staffing/costs plus additional posts including all oncosts	
Team Leader x1 L9	£ 62,000.00
2 x QTS L8 Ed Advisor	£ 104,000.00
1 L6 Ed Officer	£ 35,000.00
Transport and mobile costs	£ 3,000.00
Resources	£ 5,000.00
GCSE examinations	£ 12,000.00
Total Budget	£ 221,000.00

5 Interim Tuition Service (ITS)

- 5.1 Since taking on the operational management of the Interim Tuition Service, quick decisions were required in relation to service delivery and different ways of working to increase the number of children returning to their place of education
- 5.2 The school year started with some cases having been open since July 2019 and 7 long standing cases were children with EHCPs. Every child had their HealthCare Plan reviewed within the first 3 weeks of term and placed on one of 3 service pathways:
- 5.2.1 Targeted: the pupil accesses learning via AV1, live remote teaching platform, or online tuition programme delivered through TUTE and My Favourite Teacher (year 11s), with a weekly welfare and engagement check in.
- 5.2.2 Complex: one to one tuition in the home or hospital/hospice with curriculum and work provided by the home school
- 5.2.3 Reintegration: for those where pupils are ready to begin transitioning back into school, tutors deliver sessions within community bases and in the home school as a phased return to school.
- 5.3 For those children with an EHCP and long standing needs where their SEND was preventing them from accessing their named provision, early reviews of their plans were called and alternative education agreed through their EHCP, ceasing their involvement with ITS.



- 5.4 The above chart demonstrates 203.7% increase in cases over the past 4 years with numbers likely to have more than doubled from the previous year by the end of August 2022.
- 5.5 This increase based upon current staffing, is limiting access to suitable education and requires immediate change. An additional tutor was employed on a fixed term contract in the spring, however due to increasing referrals further tutors are required if we are to meet our statutory duties and ensuring an individualised learning programme and support.
- 5.6 Further staffing capacity is required to deliver tuition in the home and/or hospital/hospice for the hours determined suitable by medical professionals; and those students ready to begin reintegration, greater hours and personalised support within the community or school environment.
- 5.7 This academic year the hospital has been reluctant to have a tutor back in the hospital classroom, due to restrictions on unnecessary adults in the paediatric department. Once this reopens, further requirements on staffing are needed to ensure that children requiring longer than 3 days in hospital, and well enough to access learning, are provided with an education.
- 5.8 The proposal is that we increase the capacity of tutors from 2.5 to 7, employing two on a fixed term contract whilst referral numbers are kept under review, with the intention of making them permanent should the need continue.
- 5.9 In addition, the team used TUTE who provided continued GCSE online tuition for the year 11 students that had long term health needs. Although this was helpful in providing a bespoke online learning group, the team would prefer to

deliver this themselves, concentrating on English, maths, science and PSHE, with access to other GCSE subject areas through bespoke online tuition companies for those well enough to access additional lessons but unable to access this through the school via AV1 or school remote platforms. To achieve this, further resourcing of staff is needed to deliver a full time online tuition offer.

- 5.10 To support schools and ensure the LA continues to meet their statutory duties for ensuring a suitable education for all children, a L6 officer (dependent on job evaluation) position is required for meeting and reviewing healthcare plans with schools that do not fall under the existing three pathways, where the school has made reasonable adjustments to the child's provision to increase access to learning. This is needed to retain oversight and control of provision for children to ensure the LA and schools fulfil their duties. It is also aimed to reduce the number of complaints going to schools, LA and Ombudsman regarding concerns that children have not been given access to a full time education suitable to their needs.
- 5.11 Currently the LA owns 35 AV1 avatars. 20 for the ITS service and 10 open to schools for loan, encouraging earlier interventions where it is unclear that a child is unable to access education or increasing inclusive opportunities for learning in the classroom, via other bases. Although schools were slow at first to try using AV1 for their pupils needs, this has really taken off in the spring term, with all units out by Easter and a waiting list in place. We therefore propose to further increase the number of units by 15, having 50 in total, with at least 15 dedicated to school loan. This additional cost equates to £44,775 not including VAT.
- 5.12 It is aimed that the money accrued from the loan units will then provide enough income to cover the annual service charges for those units not under ITS (loan), plus provide at least one additional AV1 unit per year (according to service needs and requirements). The service should have accrued £8675 this academic year which has met the target of covering the services charges for the loan units (£6900 not inc. VAT) and has been used by 10 different schools this academic year.
- 5.13 Finally, the service proposes changing its name as it is misleading to parents (and schools) who assume they will have a dedicated tutor in the home, limiting and delaying reintegration back to school. The proposal is therefore that the Service rebrand as the Education Access Team.
- 5.14 This proposal increases the current budget for ITS from £250,000 to £418,000 (which includes deducting an expected income of £10350 to cancel out the loan AV1 unit service costs) requesting an additional £168,000.

2022/23 Budget Predictions based upon current staffing/costs plus additional posts including all oncosts	
Existing staffing establishment 1 x L9 (0.8), 1.5 x QTS & 1 LSA	£ 142,500.00
2.5 x QTS	£ 99,800.00
1 x LSA	£ 30,100.00
L6 Officer	£ 35,100.00
My Favourite Teacher	£ 500.00
service charge x 15	£ 11,500.00
service charge x 20	£ 12,000.00
purchase x 15 *	£ 34,500.00
new service charge	£ 10,350.00
income generator	-£ 10,350.00
future service delivery costs (transport, mobiles, online curriculum, pupil stationary, books and bespoke for those requiring GCSE subject tuition etc)	£ 52,000.00
Total Budget	£ 418,000.00
Note - the purchase cost of 15 new AVATARS will not need to be sustained from 2023/24, as it is therefore one off	

6 Other Options

EHE:

- 6.1 The EHE 'team' cannot continue with sustaining the current staffing levels due to the small increase this year being funded from other areas. Therefore if the board chooses to retain the current budget and not increase the required staffing levels, the LA will not be able to provide the current duties or new duties identified by the DfE.
- 6.2 Support for EHE families would need to reduce to just an annual contact (not the current contact within 5 working days or earlier to meet when still in school). Neither would be able to provide any sessions in the home, and all contact would need to reduce to booked and timed contact in community locations.
- 6.3 Neither would there be any capacity to provide support and engagement opportunities for families, making the service appear far more punitive and inaccessible to families.
- 6.4 Even based upon this very limited delivery, it is unlikely that all families could be seen once a year as many do not respond and attend first (or second) appointments and the officer also requires time for case management recording, attending social care meetings and taking unsatisfactory cases through the legal processes.

ITS:

- 6.5 If we do not increase the capacity of the team immediately, children will not be given a suitable alternative to education at school for those unable to attend.
- 6.6 In addition, the Council is at increased risks of fines from cases going to tribunal or ombudsman due to complaints being raised for not providing a suitable education and families seeking financial reimbursement for missed education.
- 6.7 Financial remedies instructed by the Ombudsman range from £200 - £600 a month for any lost learning (including those accessing education part time), plus additional costs and other remedies. The 'fine' depends on the amount of hours lost, SEND and other vulnerabilities of the child. Tribunal costs are considerably more. Although these costs are currently just aimed at LA's (including LA maintained schools), the Ombudsman has recently requested their powers be extended to Academy Trusts as well. The outcome of this recommendation has yet to be decided.
- 6.8 With this in mind, Education Board may want to consider the quality of provision and increasing access to education, versus the risks of doing nothing when making their decision.

7 Conclusion

- 7.1 Both teams have worked tirelessly to continue to provide a good service with performance outcomes reported regularly to Vulnerable Learners Subgroup and Inclusion Panel. However to manage this, staffing has been used from other service areas and increasing officer hours outside of existing budgets which is not sustainable into the next academic year.
- 7.2 Due to both provisions falling under the parameters of the High Needs Block funding arrangements it is hoped that Education Board recognises the demand and requirement to increase the current budget to provide a sufficient staffing capacity.

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Southend-on-Sea City Council

Executive Director of Children and Public Health
to
Education Board
On
21st June 2022

Agenda
Item No.

7

Report prepared by:

Brin Martin, Director of Education and Early Years
In consultation with
Paul Grout, Senior Finance Business Partner – Financial Management

Implementing the ambitions of the Green Paper on SEND

1 Purpose of Report

In order to follow up and conclude dialogue that has taken place with various groups including the Director's Briefing and the CEO network, Board will be asked to consider the proposals set out in this paper for a bid for funds to support better inclusion in the city.

2 Recommendations

Education board are asked to agree:

- 2.1 To agree the proposals set out below regarding early implementation of the ambitions set out in the Green Paper. In particular, to support the intention to establish capacity in the city to enable schools to better meet the needs of all learnings within a mainstream setting if at all possible.
- 2.2 To agree in principle the allocation of DSG High Needs Funding as set out in the High Needs Budget Allocation Report 2022-23. Namely the ringfencing of up to £1 million pounds to provide additional capacity, services and support for schools and academies, at no cost to schools, to enable them to better meet the needs of all learners alongside their peers.
- 2.3 To agree to the establishment of a small task and finish group made up of members of Education Board and Senior Officers to oversee and commission support, reporting back through Resources Sub Group on a meeting by meeting basis.
- 2.4 To require the Task and Finish Group to propose a series of impact measures that will track the effectiveness of any new provision over time.

3 Background

- 3.1 The pressures on all schools and academies to meet the needs of all learners has been amplified as a result of the pandemic. Evidence from a number of sources suggest that the pressure from the system within mainstream settings is now acute. This is referenced by increasing numbers of requests to assess for EHCP; increasing numbers of EHCP; continuing parental pressure for assessment, diagnosis and specialist support; increasing pressure on special school places and increasing incidents of behaviour and mental health challenges escalating within schools. These issues are evident nationally as well as in the city.
- 3.2 Recent finding evidence these challenges, both at City level, for example through the original ISOS report, the OFSTED/CQC revisit in 2021 and the Peer Review into SEND also in 2021. These sources reflect the similar experiences of a large number of schools that are increasingly facing a range of pupil behaviours and needs that place the system under great strain. Where this becomes unmanageable, or at detriment to the efficient education of the school, it compounds the escalation of low level issues of behaviour into exclusions, attendance and requirement for specialist intervention.
- 3.3 These challenges have been recognised in the recent publication of the governments Green Paper into SEND, Inclusion and Alternative Provision. If these ambitions manifest themselves into policy, it will require mainstream schools and setting to improve their inclusion of such pupils, and to meet their needs within their existing setting without the recourse to assessment, diagnosis and specialist intervention.
- 3.4 This paper broadly welcomes the intention for all schools to become even more inclusive, but also recognises that to do so, they will require effective support and training to enable them to meet the needs of the vast majority of pupils through early identification and implementation of effective measures without the need for an EHCP.
- 3.5 The situation within the HNB in the city is such now that a request for additional support for schools is timely, and will ultimately reduce costs to the system of increasing specialist intervention or placement.

4 Context

- 4.1 Discussion has taken place over a number of months with various groups including the Vulnerable Learners Sub Group, inclusion steering group, the SEND Strategic Partnership Board, the CEO Network of Multi Academy Trust in the city and more recently though the Director's Briefing. The proposal to establish a workforce/services/commission to provide early, effective and sustainable support to meet schools needs has been widely supported in principle.
- 4.2 At the recent Director's Briefing, school and Trust Leaders and senior staff were engaged in a conversation regarding how best could they meet the ambitions

set out in the green paper, and what would they require from the area in order to support them in this task. A brief report followed that meeting.

4.3 The meeting took the views from senior school leaders regarding what they would need to be able to implement the ambitions from the government's green paper. The focus was limited to if schools/settings are going to be expected to meet the needs of learners with additional needs in their mainstream setting, what specific support would they need to meet this ambition. Much of the rich discussion referenced the multi-agency pressures within the system, in particular those from health and social care. However the clear message came through that in order to be able to better define these needs, greater clarity of what was trying to be achieved, and what outcomes would this be measured against would be required.

4.4 Bearing this response in mind, and the caveat expressed, the following themes emerged as gaining support:

- Undertaking a mapping exercise of existing provision and services, specialisms and admission criteria relating to additional and specialist needs already in existence within the city, leading to the identification of any gaps or pressures in provision
- Establishing training/training hub for leaders, SENCO, staff in dealing with a range of additional needs
- Resources to support earlier diagnosis and intervention through triage, specialist assessment centre
- Available additional specialist resource for schools working alongside staff
- Increased registered alternative provision for all age groups (vocational yr9-11 and an AP resource hub for anxiety/school refusers)
- Greater support in early years/preschool
- Trained staff to work directly with parents

4.5 In order to take this opportunity forward, a number of recommendations are set out below for Board to consider.

5 Recommendations

5.1 In order to take this work forwards at pace, it is recommended a small task and finish group be established to firstly define the work further, secondly receive the capacity report referenced in the first bullet above, and thirdly map out and consult on a range of options in line with the initial findings above.

5.2 This work would conclude with a formal report and resource bid back to the next Education Board, indicating the detailed scale of the resource request over time. However, to ensure commitment and momentum, Board are asked to note in principle the request for sustainable funding from the DSG HNB in the region of £1 million, to be defined in the subsequent paper.

5.3 It is hoped that work and commission of additional support once identified by the group could start ahead of the October Education Board, in order that the much needed resource could be commissioned or recruited ahead of that time. The group would be accountable through RSG to the Education Board.

5.4 It is further recommended that the constitution of the group consists of members of Education Board (or its sub groups if necessary) along the lines of:

- A Headteacher from the Primary, Secondary and Special school phase
- A representative from the CEO network
- An early Years Leader/Manager
- A Headteacher from Alternative Providers
- An experienced and representative SENCo
- A member from the Parent Carer Forum
- Representative officers from:
 - Education
 - SEND
 - Inclusion
 - Social Care

6 Consideration

6.1 The group would be accountable to Education Board

6.2 All proposed spending must follow DSG protocols

6.3 The impact of the provision would be measured and reported against a costed and timed implementation plan submitted to the next Board

6.4 Members of the group would be bound by declaration of interests, and if required remove themselves from part/all of any related discussion where they may be seen to have a vested interest

6.5 The spending would be subject to annual review, based upon impact

6.6 The challenges of recruitment at this time are not underestimated.

Southend-on-Sea City Council

Executive Director of Finance and Resources
Executive Director of Children and Public Health

to

Education Board

On

21st June 2022

Report prepared by:

Paul Grout, Senior Finance Business Partner – Financial Management

In consultation with:

Gary Bloom, Head of Special Educational Needs (SEND)

Cathy Braun, Head of Access and Inclusion

Brin Martin, Director of Education and Early Years

Education Board - Resources Sub Group

Agenda
Item No.

8

High Needs Block detailed budget allocation 2022/23

1 Purpose of Report

To present the High Need's DSG (Dedicated Schools Grant) Block detailed budget allocations for 2022/23.

2 Recommendations

Education board (EB) are asked to agree:

- 2.1 To continue the revised special school flexible place fund allocation scheme from September 2022. (As referenced in section 7.2)
- 2.2 That the in borough high need EHCP (Educational Health and Care Plan) top up funding rates awarded to special schools, enhanced main stream units and mainstream schools receive a 5.0% uplift applied to the current banded rates from September 2022. (As referenced in section 7.3.2, 7.3.3, 7.4, 8.2)
- 2.3 The continuation of the compensation place funding scheme for the Enhanced Mainstream units from September 2022, where that unit was not fall at the point of the October 2021 school census. (As referenced in section 7.5)
- 2.4 That the Pupil Referral unit top up rates are uplifted by 5.0% from September 2022. (As referenced in section 7.7)
- 2.5 And in consideration of recommendations 2.1 to 2.4, combined with the budget requirements as presented in this paper and previous specific high need papers presented at this Education board, agree and adopt the detailed High need budget allocations for 2022/23.

3 Background

3.1 The Department for Education (DfE) announced the Dedicated Schools Grant (DSG) funding allocations for 2022/23 on the 16th December 2021. The Final “Dedicated Schools Grant 2022/23 budget paper” was presented to the Education Board (EB) on the 18th January 2022, providing the totality of funding for each of the 2022/23 DSG funding blocks including High Needs.

3.2 The following points should remain minded:

3.2.1 Southend had considerable overspend pressures on its high need block for the two financial years 2016/17 and 2017/18, 2018/19 was the first financial recovery year with a small in year underspend that alleviated some pressure on depleted and deficit High Need funding reserves. 2019/20 saw the first sign of full recovery leading to fully restored positive end of year DSG reserve balances including High Needs within. Very welcome and additional recurrent DSG funding has been received to the High Needs block for the years 2020/21 (+ £2.976M funding per annum), 2021/22 (+£2.944M funding per annum), 2022/23 (+£3.308M funding per annum).

3.2.2 It is therefore once again welcome to re-confirm that through the joint work of Southend’s Local Authority, Education Board, Special Schools and Schools over the last 6 years, combined with the additional funding received from the DfE that Southend’s High Needs block is now in this strong and healthy financial position.

3.3 Risk on funding pressures within the High Needs Block will always remain and this is due to a combination of increased demand and therefore award of funding for Education Health and Care Plans (EHCPs), as well as, complexity of cases requiring more places in special schools and independent provider placements which includes Alternative Provision (Non-EHCP support) as well. This is also a picture that is being experienced in the majority of other local authorities. Therefore, we need to continue to sensibly plan the distribution of available High Needs funding to continue to remain affordable within the permanent funding received whilst improving the outcomes of our local children and young people with SEND.

4 2022/23 High Needs DfE funding allocation

- 4.1 The detailed and proposed high needs budget allocation for 2022/23 is presented in Appendix 1.
- 4.2 As first presented in the October 2021 EB DSG paper, the following were the key headline announcement released by the DfE in relation to High Needs funding allocation for 2022/23.
- 4.2.1 The funding floor within the DfE funding formulae itself will be set at 8% so each local authority can plan for an increase of at least that percentage, after taking into account changes in their 2 to 18 population estimated through the office of national statistics.
- 4.2.2 The gains cap will be set at 11%, on the basis of their 2 to 18 head of population, allowing authorities to see up to this percentage increase under the funding the formula.
- 4.3 And to remind, as first presented and known in the January 2022 EB DSG paper, the DfE, also announced on the 16th December 2021 an additional high needs supplementary grant amount to the DSG nationally & locally. And was provided in respect of both the health and social care national insurance (NI) levy and other wider cost pressures within high needs. From 2023/24 it was stated as the DfE's intention to build this grant directly into future DSG allocations.
- 4.4 Therefore, to now remind, for our own local 2022/23 DSG high need funding allocation this has set an allocation of £28.765M, a total additional £3.308M from 2021/22 incorporating the additional DSG supplementary grant.
- 4.5 The high needs block funding allocation does still remain provisional until July 2022, whereby the DfE will then be updating the final funding allocation in recognition that the authority meets all the high need place funding costs in their home area regardless of the pupil's own home authority residence (excluding free special schools). And this is known by DfE terminology as the "high need import and export funding adjustment". Therefore the home authority will be compensated (at £6000 per pupil) in relation to high need place funding, where that authority supports more pupils (than the previous year) who live outside of the home resident authority area than pupils they have been required to place in another local authority area, or on the contrary, a reduction in the local import and export funding adjustment will be applied if the opposite event occurs. Currently Southend's funding allocation is based on a net import of 76 pupils (based on the July 2020 applied Import and Export adjustment). The High needs block funding allocation is also updated in July 2022, for any Southend resident place based funding (of the total 50 places) for the YMCA free school.

5 Summary table of proposed budget allocations between 2021/22 to 2022/23 as illustrated in Appendix 1

Summary Heading	2021/22 Final Budget (A)	2021/22 Final Spend	2022/23 Opening Budget (B)	Budget movement Increase / (decrease) (B – A)	2022/23 Opening Forecast
Place funding	£8.304m	£8.196m	£8.660m	+£0.356m	£8.519m
Special and PRU/AP top up funding	£6.782m	£6.615m	£7.947m	+£1.165m	£7.737m
Subtotal	£15.086m	£14.812m	£16.607m	+£1.521m	£16.256m
Schools, early years, post-16 top up funding	£4.480m	£4.040m	£4.880m	+£0.400m	£4.680m
Independent Providers	£1.950m	£1.533m	£1.950m	+£0.000m	£1.950m
Other Provisions including SLA's	£2.024m	£1.578m	£2.595m	+£0.572m	£2.453m
Total services line total	£23.539m	£21.963m	£26.032m	+£2.493m	£25.338m
Funding allocations to support required future growth in high need provision	£1.800m		£2.400M	+£0.600M	£0.250m
Remaining balance held aside from service provision to support any in year high need funding pressures	£0.177m		£0.333m	+£0.155m	
Total	£25.516m	£21.963m	£28.765m	+£3.248m	£25.588m

6 Place Funding 2022/23 Academic year

- 6.1 Appendix 2 – provides the place funding allocations and funded pupil numbers for each school/college from September 2022 within Southend, including the commissioned place funded number movement from 2021/22 and this is now a total place number allocation of 962 for 2022/23 (a further growth of 46 places from 2021/22).
- 6.2 In accordance with statutory DfE guidance, the place funded numbers from September 2022 to August 2023 have been agreed and revised by the Head of SEND in consultation with the respective special schools, Further Education colleges and enhanced mainstream bases and the Head of Access and Inclusion for the pupil referral unit. For Education Skills Funding Agency (ESFA) funding recoupment to apply to academy schools, the DfE require that the place numbers are confirmed by November 2021 with the DfE for the start of the 2022/23 academic year. Therefore, the place funded numbers are listed as those that have been updated directly with the DfE i.e. where confirmed with the schools in time for the November 2021 submission, and any additional place fund allocations that will be funded outside of the DfE submission that have subsequently been agreed with those schools, including any new bases that still remain subject to DfE agreement.
- 6.3 As result of both the revised and increased place funded numbers as shown in Appendix 2 and Appendix 1, this derives the additional 2022/23 place funding allocation to be awarded of £356,000 compared to 2021/22. And note - the

actual place funded amounts per pupil are defined by the DfE and set at £10,000 per annum per place for Southend's special schools, pupil referral unit and alternative provision free school. Further Education post 16 providers, and Enhanced Mainstream School bases are set at £6,000 per place per annum (with the remaining £4,000 per place funded through the Schools block where those applicable pupils are registered in the annual October census).

- 6.4 The final budget allocation awarded to place funding in 2022/23 is therefore £8.660M. Whilst some of these increases will not come into effect until September 2022, i.e. 7/12ths of the 2022/23 financial year, to aid long term financial planning the budget has been set on a full academic year allocation.

7 Top up funding for Special Provisions and Pupil Referral Unit

- 7.1 All top up funding budget allocations have been updated in accordance and in consideration of the additional place funded numbers from September 2022.
- 7.2 Historically, under a local agreement a flexible place funding top up provision of £40,000 has been set aside to honour an agreement where a special school's actual place numbers rise above their commissioned funded place number. A more equitable distribution model was devised, agreed and administered from September 2021. The formulae is displayed in Appendix 7 and it is proposed to remain as set from September 2022. The current expectation is this model from September 2022 will continue to cost within the £40,000 budget provision.
- 7.3 The current number of Southend pupils expected to attend a special school in 2022/23, and their associated top up banded amount has been formulated to calculate the overall annual expected amount for distribution. The workings of this calculation are shown in Appendix 3. To remind, from September 2021 a new EHCP top up Banding Scheme from September 2021' was agreed and administered, providing a consistent framework from which all EHCP top up amounts are assessed and awarded. There are a couple items to note and recommend within the provision of EHCP banded top up rates as follows:
- 7.3.1 In accordance with the approach agreed at the January 2022 EB DSG paper, all EHCP banded top up rates and the pupil referral unit top up rates were uplifted from April 2022 by 2.6% to account for the additional costs of the new Health and Social National Insurance Levy for Employers of 1.25%. Appendix 8 displays the costings model for that uplift and the updated banded rates within.
- 7.3.2 Appendix 3 therefore displays these now updated rates from April 22 and with a recommendation for a further uplift from September 2022. Firstly it does need to be noted, that if a further uplift was not applied based on the expected EHCP banded levels and given an overall increase in the number of Southend pupils attending a special school from September 2022, the high needs budget would still need to make provision for a further £0.442M per annum on an academic year basis for special school top ups from 2021/22. However, it is only fair and balanced to recommend in view of both the additional funding Southend has received to its high needs block for 2022/23 and to contribute towards further additional cost and inflationary pressures that a further 5% uplift to all EHCP banded rates is applied from September 2022. This is an additional academic high need year cost of £0.290M towards funding for special schools, and an

estimated 2022/23 academic year total cost of £6.010M for special school top ups.

- 7.3.3 It must remain noted, it is the Local Authority that is ultimately responsible for the setting of actual banded tops up with expected consultation through Schools forum and therefore there is always an annual choice to the % uplift that can be applied to EHCP top up rates, and that choice should be balanced between any current pressure demands within High Needs funding, affordability alongside any other current or long term funding matters and ofcourse funding pressures directly within Special Schools and / or mainstream schools with the costs associated to EHCP banded top up rates. The choice is therefore subject to various % ranges, ranging from a minimum 0% uplift in accordance with Minimum Funding Guarantees, to i.e. 2% and on this current basis a recommendation of 5% (as proposed in 7.3.2), which is highlighted as a reasonable uplifted % considering all the current demands and considerations to long term funding requirements.
- 7.4 Appendix 4, displays the current anticipated banded levels and associated Sept 2022 banded top up rates (given the recommendation of 7.3.2 for a 5% uplift to all EHCP banded top up rates) including the enhanced mainstream units. This derives an estimated current allowance of circa £350,000 per annum from Sept 2022.
- 7.5 It is also recommended, with the enhanced mainstream units that the additional arrangement agreed to honour compensation funding of £4,000 per place per annum where that provision was not full at the time of the School's October 2021 census should continue into 2022/23. This in effect allows the enhanced mainstream units to plan and resource the base's appropriately regardless of the fact provision may not have been full at the particular time of the October 2021 census. There is of course a particular requirement where the provision is either new to an academic year or will be expanding in that following academic year where this would apply. Therefore an additional budget allowance of a £275,000 per annum, applicable from Sept 2022 has been included on the Enhanced Mainstream top up funding and compensation place funding, to allow for both expansion of expected top up provision (for where numbers are not yet able to be confirmed) and current expected associated compensation place funding.
- 7.6 As first referenced in previous 2021/22 DSG EB reports, the DfE built within the DSG funding allocations for 2021/22 and an ongoing basis, the teacher pay award and pension grants that prior to 2021/22 were paid by separate grants to the schools. The DfE have instructed that these grant amounts should continue to be paid through the DSG at the same funded levels which they were paid in 2020/21 (and in addition to both place and top up funding). Appendix 6 – therefore displays these 2020/21 grants for the applicable high need schools that will continue to be paid during 2022/23.
- 7.7 With regard to the Pupil Referral Unit (PRU) and on the basis there was no uplifts on top up rates until 2021/22, it is therefore recommended and proposed given inflationary rises with teacher and running costs that the top up funding related to the 85 places covering 57 single band Alternative Provision (AP) places, 10 primary and 18 KS3 preventative pathway programme places from

the 1st September 2021 are all uplifted by a further 5% from a current £8,536 per annum (paid on actual occupancy) to a revised £8,963 per annum (paid on actual occupancy). On this proposal the budget allocation for the PRU and AP top ups have been updated for 2022/23 at an additional annual cost of £30,000 and will be incorporated through the service level agreement.

- 7.8 The final budget allocation awarded to top up funding for Special Schools / Enhanced Mainstream bases and the PRU in 2022/23 is therefore proposed to be set at £7.947M, which is an increase of £1.165M from the 2021/22 allocation.

8 Top up funding for early years provider, mainstream schools and post 16 providers

- 8.1 The 2022/23 budget allocation attached to Early Years ages 2 to 5 SEND top ups has been set to stay at the same funded level for 2021/22 at £130,000. Given the underspend within 2021/22, this therefore ensures the funding allocation can support growth in 2022/23 in accordance with proper practise and assessment.
- 8.2 Appendix 5, displays the current anticipated banded levels and associated Sept 2022 banded EHCP top up rates (given the recommendation of 7.3.2 for a 5% uplift to all EHCP banded top up rates) for all in borough mainstream schools. This derives an estimated current budget allowance of £3M per annum (£2M Secondary and £1M Primary) for 2022/23. This does allow for some room for growth within spend should that occur during 2022/23.
- 8.3 Out of borough Mainstream or Special Schools. Where Southend children are placed in schools in another authority guidance by the DfE expects the top up funding rate of that authority where the child is placed to be honoured. Therefore there is no control in terms of price's to be paid for that child where this occurs. The 2021/22 funding allocation has therefore been slightly increased by £50,000 (from £850,000) to afford a further level of growth in 2022/23 to now a revised allocation of £900,000.
- 8.4 Top ups for post 16 providers, although an underspend occurred in 2021/22, this remains a priority area of required growth to support the educational need of children as they become young adults. The funding allocation has therefore been set to stay the same in 2022/23 at £850,000 per annum.
- 8.5 The final budget allocation awarded to this top up funding provision in 2022/23 is therefore proposed at £4.880M, which is an increase of £400,000 from the 2021/22 budget allocation.

9 Independent providers

- 9.1 School age children whose educational needs are unable to be met through a mainstream school, special school, PRU or the AP free school have to be met through the use of Independent providers.
- 9.2 Independent provider provision is only used where there is no viable alternative available within Southend or where directed by SEND Tribunal and therefore these costs are largely unavoidable. Southend has also experienced a now maintained rise in the required numbers of looked after children requiring external residential care placements (which is also being experienced in other authorities nationally). The educational cost of independent provider placements tend to be more expensive than children educated through either a mainstream or special school provision and particularly when they are required to be placed in a residential school. It is therefore a requirement that this funded allocation is increased to both fund the current levels of expenditure and allow some head room within the funding allocation for potential further growth.
- 9.3 The budget allocation awarded to this funding provision in 2022/23 is £1.950M, and remains set at the same funded level as 2021/22. There was again an underspend on this provision in 2021/22 so it allows head room for growth should it occur.

10 Other funding provision including SLA's

- 10.1 As statutorily required, a budget to support low cost high incidence SEND placements within mainstream school will continue to be set, any required allocation of this funding will continue to be determined by the Head of SEND. This budget allocation is therefore set to remain at £10,000.
- 10.2 The budgeted allocation for education services provided to Southend children in hospitals is set to remain at £100,000. This continues to provide head room within this provision compared to 2021/22 spend allocations should that occur.
- 10.3 Interim tuition service – for local children who because of illness or other reasons would not receive a suitable education. The current budget allocation is £250,000 in 2021/22, as per the previous Education Board paper “Access to Education paper”, the reflected annual ask on a permanent basis is now updated to £418,000 due to the increase in demands, with a full year effect commencing from Sept 2022.
- 10.4 It is proposed to maintain the 2022/23 allocation for the contribution to the SEND team for Early Years Special Educational Needs Coordinators and Education Psychology support at the same funded level in 2022/23 at £654,000 per annum just allowing an additional 3% (£19,000) for inflation towards staff costs from April 2022.
- 10.5 SEN Support services £242,000, covering service level outreach agreements for Speech and Language, Autism Spectrum Disorder and advisory SENCO's, it is recognised that there has been both no ask or funding provision put aside to increase these SLA's for a number of years which in turn fund staff time. It is

therefore proposed, that all SLA's for SEN Support service are uplifted by 10% providing an additional total increase of £30,500 per annum. And given, recent changes to increased NI and Health Contributions from Apr 22, it is proposed this is also actioned and back dated to April 22.

- 10.6 The proposed budget for Inclusion Services in 2022/23, remains as set for 2021/22 (allowing a 3% for inflation towards staff costs back dated to April 2022) but with the exception of the now additional permanent ask to fund the continuation of the additional resources for secondary schools monitored through the secondary inclusion panel at £150,000 per annum. To remind, this was proposal was first presented to the EB and agreed in December 2021, this request is therefore to seek to make that provision a permanent feature promoting inclusive strategies. To remind the inclusion services budget now proposed at £830,000 funds Inclusion Manager and Inclusion Officers, Behaviour and Integration Outreach service, and both now additional resources for Primary and Secondary schools monitored and agreed through the respective inclusion panels.
- 10.7 Elective Home Education (EHE) - EHCPs, it is proposed to maintain the existing funding provision of £20,000 to support EHE for children with EHCP's as we continue to see minimum spend activity on this provision.
- 10.8 Elective Home Education (EHE) – Alternative provision, The current budget allocation is £47,000 as per the previous Education Board paper “Access to Education paper”, the reflected annual ask on a permanent basis is now updated to £221,000 due to the increase demands on service provision with a full year effect commencing from Sept 2022.
- 10.9 It is proposed to maintain the budget provision at £100,000 for personal budget payments to the parents/cares of children, or to young adults who make an informed choice to receive their EHCP funded support by direct payment. A maintained budget allocation of £100,000 again allows head room for required growth in 2022/23.
- 10.10 The final budget allocation awarded to this funding provision is £2.595M, and this is a net increase of £571,500 from the 2021/22 budget allocation.

11 Budget allocations to support future development and growth

- 11.1 Whilst this paper sets out a mechanism to allocate a balanced High Needs budget for 2022/23, including growth and or inflation applied throughout on existing funding provision. It is therefore welcome to confirm, given the additional £3.308M funding received for 2022/23, there remains a further £0.600M that can now be added to the sums held aside in 2021/22 targeted to support permanent future years growth and expansion of current high needs provision bringing the total funded provision put aside in 2021/22 of £1.800M to now £2.400M in 2022/23. This is obviously very welcome news for Southend, the educational community and pupils supported. At this time, the allocation has been split three ways to indicate the range of services High Needs funding support and priority areas to see expansion. An annual £1M as per the previous Education Board paper by the Director of Education and Early years, to better support Inclusion and linked to the needs and demands of the SEND Green

paper, £0.7M for further expected and required permanent Enhanced Mainstream bases and top up funding, and a further £0.7M for expected and required Alternative provision pathways. It remains the responsibility of the local authority through engagement with the education community to formulate the creation of these increased provisions moving forward and proposals will come back through to the Education Board.

- 11.2 To further support that locally we have continued to expand spend growth and provision within funding allocations to date, a very simple and illustrative Appendix 9 local budget and spend chart has also been now included within this paper. The chart demonstrates both the expansion of High Needs Funding since 2014/15 and the expansion of our local spend position within, including the years of DSG deficit now recovered. The chart also demonstrates, that locally we cannot anticipate funding to increase in future years from 2023/24 at the rate they have done in the last 3 financial years 2020/21 to 2022/23. The Department for Education have also stressed, they do not anticipate funding increases over those levels that have been seen over the last 3 years, to apply again, we therefore have to continue to sensibly plan spend within our allocations now received allowing for permanent targeted new growth within to support Inclusion, SEND and alternative provision, improving the outcomes for children and young adults with High Needs.

12 Budget retained

Again, given the additional funding high need has received, a sum at this current time has been held aside to support any overspends on provision during the year or adverse High Need funding adjustments. Given the growth required and reference in 11, this will not happen in 2022/23. But to aid long term planning, given the risk and volatility of financial risks associated with High Needs funding where many other local authorities are under financial pressure with required recovery plans. It is prudent to always ensure a funding amount is set aside within a reasonable small tolerance so that any in year overspends can be absorbed without leading to negative recovery actions. The sum has been maintained at a similar opening level of 2021/22 at £333,000, and it should be noted that this sum in 2021/22 was adjusted down to £177,000 (reduced by £152,000) after the DfE processed negative funding adjustments reducing the High Needs funding block for both the annual High Need import and export adjustment and Free School place funded provision (both announced in July 2021). Therefore, it is prudent to always ensure any adverse funding adjustments can be absorbed within a specific budgeted allocation on a permanent basis.

13 2022/23 Opening Forecast Outturn

- 13.1 The budget allocations have been set on permanent full year effect which therefore ultimately supports long term planning. The opening forecast outturn reflects that in the 2022/23 there will be expected underspends on some services as some of this growth will not apply until September 2022, combined with recognition some of the new funding allocations will take time to be implemented. Therefore, again this expected opening and one off underspend will be attributable to increase the high need DSG reserve balance adding further to reserve balances, which is also key to long term sustainability of high need funded provision but can also support further one off initiatives where affordable.

14 Conclusion

- 14.1 After recent years of significant funding pressures, it is very welcome that the High Needs Budget allocation for 2022/23, as well as in 2021/22 and 2020/21, presents a paper of growth in funding allocations to support our local children and young adults and therefore their educational outcomes.
- 14.2 However, it must continue to be acknowledged that high needs pupils are those whose educational needs cannot be met by resources delegated via the school's block. Any further reduction in inclusive practice, increased requests for EHCPs, pupil exclusions, parents of SEN pupils electively home educating and placements in special schools all put further funding pressure on the high needs block. Therefore, the long term future of the High Needs budget will continue to the need the support of the wider schools community to remain sustainable.

15 Appendices

- Appendix 1 – DSG High Need Budget detail allocation 2022/23
- Appendix 2 – Place funded pupil numbers per school or college from Sept-22
- Appendix 3 – Special school top up funding for 2022/23 est. schedule
- Appendix 4 – Enhanced mainstream unit top up funding 2022/23 est. schedule
- Appendix 5 – Mainstream top funding 2022/23 est. schedule
- Appendix 6 – Teacher pay and Pension grant award 2021/22
- Appendix 7 – Special School flexible place funding from Sept 2022
- Appendix 8 – Special Schools and Alternative Provision - Health and Social Care National Insurance Compensation model from Apr-22
- Appendix 9 – Southend DSG High Need funding and spend

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Recommend, if printed, to print in A3 Portrait

		A	B	C = A + B	D	E = D - C	F	G = F - C	H	i = H - F	
		£	£	£	£	£	£	£	£	£	
		2021/22					2022/23				
Block	S251 Line ref.	Summary Line	Original Budget	In Year Budget adjustments	Latest Budget	Final Outturn	Final Variance Over / (under)	Original Budget	Budget Variation from previous year increase / (decrease)	Forecast Outturn	Forecast Variance Over / (under)
High Needs	1.0.2	Place Funding - Special Schools	-	-	-	-	-	-	-	-	-
	1.0.2	Place Funding - Special Schools Recouped	6,070,000	-	6,070,000	6,036,667	(33,333)	6,320,000	250,000	6,224,167	(95,833)
	1.0.2	Place Funding - PRU	41,667	-	41,667	41,667	(0)	-	(41,667)	-	-
	1.0.2	Place Funding - PRU Recouped	808,333	-	808,333	808,333	-	850,000	41,667	850,000	-
	1.0.2	Place Funding - Special Units	128,000	-	128,000	140,500	12,500	198,000	70,000	168,000	(30,000)
	1.0.2	Place Funding - Special Units Recouped	342,000	-	342,000	294,500	(47,500)	378,000	36,000	363,000	(15,000)
	1.10.2	Place Funding - Free School Recouped	-	50,000	50,000	29,167	(20,833)	50,000	-	50,000	-
	1.0.2	Place Funding - CCP and FE Recouped	864,000	-	864,000	846,000	(18,000)	864,000	-	864,000	-
		Subtotal Place funding	8,254,000	50,000	8,304,000	8,196,833	(107,167)	8,660,000	356,000	8,519,167	(140,833)
	1.2.2	Special School - flexible place funding	40,000	-	40,000	29,354	(10,646)	40,000	-	40,000	-
	1.2.1 / 1.2.2	Special School Top ups	5,200,000	-	5,200,000	5,284,212	84,212	6,100,000	900,000	5,920,000	(180,000)
	1.2.1 / 1.2.2	Special School - teacher pay and employer pension former grant	388,000	-	388,000	387,669	(331)	388,000	-	388,000	-
	1.2.1 / 1.2.2	Special Units Top ups & compensation place funding	380,000	-	380,000	429,180	49,180	615,000	235,000	546,000	(69,000)
	1.2.2	PRU Top ups	425,000	-	425,000	235,616	(189,384)	425,000	-	465,000	40,000
	1.2.2	PRU / AP - teacher pay and employer pension former grant	82,500	-	82,500	71,053	(11,447)	82,500	-	82,500	-
	1.2.2	Preventative Pathway AP top ups	266,000	-	266,000	178,364	(87,636)	296,000	30,000	295,000	(1,000)
		Subtotal Special School and PRU / AP provision top up funding	6,781,500	-	6,781,500	6,615,448	(166,052)	7,946,500	1,165,000	7,736,500	(210,000)
	1.2.1 / 1.2.2	EHCP Early years Top ups	130,000	-	130,000	84,566	(45,434)	130,000	-	130,000	-
	1.2.1 / 1.2.2	EHCP Inborough Mainstream - Primary Top ups	1,800,000	-	1,800,000	1,689,193	(110,807)	2,000,000	200,000	1,900,000	(100,000)
	1.2.1 / 1.2.2	EHCP Inborough Mainstream - Secondary Top ups	850,000	-	850,000	727,944	(122,056)	1,000,000	150,000	900,000	(100,000)
	1.2.1 / 1.2.2	EHCP Top ups - out of Borough	850,000	-	850,000	862,506	12,506	900,000	50,000	900,000	-
	1.2.2	EHCP Top ups - post 16 providers	850,000	-	850,000	675,489	(174,511)	850,000	-	850,000	-
		EHCP top up provision schools and post-16	4,480,000	-	4,480,000	4,039,698	(440,302)	4,880,000	400,000	4,680,000	(200,000)
	1.2.3	EHCP funding for Independent providers (IP)	1,700,000	-	1,700,000	1,388,729	(311,271)	1,700,000	-	1,700,000	-
	1.2.7	Alternative Provision (non EHCP and LAC Residential Care*) for IP	250,000	-	250,000	144,751	(105,249)	250,000	-	250,000	-
		Independent providers	1,950,000	-	1,950,000	1,533,480	(416,520)	1,950,000	-	1,950,000	-
	1.2.4	HN targeted LCHI funding	10,000	-	10,000	-	(10,000)	10,000	-	10,000	-
	1.2.6	Hospital Education provision	100,000	-	100,000	19,912	(80,088)	100,000	-	100,000	-
	1.2.7	Individual Tuition service	250,000	-	250,000	220,277	(29,723)	418,000	168,000	348,000	(70,000)
	1.2.5	SEND team - contribution towards Early Years SENCOs and Education Psychology	635,000	-	635,000	635,000	-	654,000	19,000	654,000	-
	1.2.5	SEN Support Services	211,500	-	211,500	215,599	4,099	242,000	30,500	242,000	-
	1.2.8	Inclusion Service	590,000	60,000	650,000	395,622	(254,378)	830,000	180,000	830,000	-
	1.2.5	Elective Home Education Costs (EHCP)	20,000	-	20,000	-	(20,000)	20,000	-	20,000	-
	1.2.5	Elective Home Education Costs (Alternative Provision)	47,000	-	47,000	34,936	(12,064)	221,000	174,000	148,500	(72,500)
	1.2.11	Direct payments	100,000	-	100,000	56,623	(43,377)	100,000	-	100,000	-
		Other High Need funding provision including SLA's	1,963,500	60,000	2,023,500	1,577,969	(445,531)	2,595,000	571,500	2,452,500	(142,500)
		Total High Need Non-Place funding	15,175,000	60,000	15,235,000	13,766,595	(1,468,405)	17,371,500	2,136,500	16,819,000	(552,500)
		High Needs block service lines total	23,429,000	110,000	23,539,000	21,963,429	(1,575,571)	26,031,500	2,492,500	25,338,167	(693,333)
	1.0.2 / 1.2.2	Further required enhanced mainstream bases EHCP place based provision and top up funding	600,000	-	600,000	-	(600,000)	700,000	100,000	-	(700,000)
	1.0.2 / 1.2.2	Further required Alternative place based provision and top up funding	600,000	-	600,000	-	(600,000)	700,000	100,000	-	(700,000)
	1.2.5	Further required service provision for Wider SEND support / Inclusion	600,000	-	600,000	-	(600,000)	1,000,000	400,000	250,000	(750,000)
		Funding allocations for required future service provision	1,800,000	-	1,800,000	-	(1,800,000)	2,400,000	600,000	250,000	(2,150,000)
	1.2.3	Balance held aside from service provision to support any in year high need funding pressures (or DFE adjustments to funding)	329,437	(152,000)	177,437	-	(177,437)	333,233	155,796	-	(333,233)
		High Needs Block total*	25,558,437	(42,000)	25,516,437	21,963,429	(3,553,008)	28,764,733	3,248,296	25,588,167	(3,176,566)
DSG - Funding Income		High Needs Funding Block	(17,800,437)	358,667	(17,441,770)	(17,441,770)	-	(19,582,085)	(2,140,315)	(19,582,085)	-
		High Needs Additional DSG supplementary grant	-	-	-	-	-	(1,006,648)	(1,006,648)	-	-
		High Needs Recoupment	(7,758,000)	(256,667)	(8,014,667)	(8,014,667)	-	(8,176,000)	(161,333)	(8,176,000)	-
		High Needs total	(25,558,437)	102,000	(25,456,437)	(25,456,437)	-	(28,764,733)	(3,308,296)	(28,764,733)	-

Appendix 2 - Place funded pupil numbers per School or College from Sept-22
For 2022/23 Academic year

ESFA Registered*	To be paid by	Category	School name	No. of Places funded		Annual amount per place £'s	Total Annual Amount £'s
				incr / (dec) from 2021/22	Total No. funded		
Yes	ESFA	Special School	St Christophers (pre 16)	-	228	10,000	2,280,000
Yes	ESFA	Special School	St Christophers (post16)	-	7	10,000	70,000
Yes	ESFA	Special School	St Nicholas (pre16)	-	92	10,000	920,000
Yes	ESFA	Special School	Lancaster (pre 16)	10	38	10,000	380,000
Yes	ESFA	Special School	Lancaster (post 16)	10	82	10,000	820,000
Yes	ESFA	Special School	Kingsdown (pre16)	-	120	10,000	1,200,000
Yes	ESFA	Special School	Sutton House (pre16)	5	65	10,000	650,000
			subtotal	25	632		6,320,000
Yes	ESFA	PRU	Victory Park	-	85	10,000	850,000
			subtotal	-	85		850,000
Yes	ESFA	AP Free School*	YMCA	-	5	10,000	50,000
Yes	ESFA	Special base Unit - Secondary	Chase	-	12	6,000	72,000
Yes	ESFA	Special base Unit - Secondary	Shoeburyness	-	18	6,000	108,000
Yes	ESFA	Special base Unit - Secondary	Southend High School for Boys	6	6	6,000	36,000
Yes	LA	Special base Unit - Secondary	Southend High School for Boys	6	6	6,000	36,000
			subtotal	12	42		252,000
Yes	ESFA	Special base Unit - Primary	Temple Sutton	-	12	6,000	72,000
Yes	LA	Special base Unit - Primary	Fairways	-	15	6,000	90,000
Yes	ESFA	Special base Unit - Primary	Hamstel	-	3	6,000	18,000
No	LA	Special base Unit - Primary	Hamstel	-	3	6,000	18,000
No	LA	Special base Unit - Primary	Greenways *	9	9	6,000	54,000
Yes	ESFA	Special base Unit - Primary	Blenheim	-	12	6,000	72,000
			subtotal	9	54		324,000
Yes	ESFA	Further Education	South Essex College	-	99	6,000	594,000
Yes	ESFA	Further Education	Adult College / Westcliff Centre	-	45	6,000	270,000
			subtotal	-	144		864,000
			Grand Total	46	962		8,660,000

* AP Free School YMCA is funded at 50 places paid directly by the DfE outside of Southend's High Need block allocation - assumed at 5 places funded by Southend (will be confirmed in July 22)

* ESFA Registered - To be formally registered with the ESFA the place change notification for 2022/23 would have to be confirmed to the ESFA by November 2021. Therefore those not registered were in planning stage at that time and not formally confirmed.

Greenways* At 08/06/2022 remains subject to DfE approval

Appendix 3 - Special School top up funding for 2022/23 est. schedule

If printed, recommend to print in A3 Landscape

Data set updated May 2022

Months funding applicable in each term:

2223 % rate change on bands from Sept 22 5%

	Band 10				Band 9				Band 8				Band 7				Band 6				Band 5											
	summer	autumn	spring	summer	summer	autumn	spring	summer	summer	autumn	spring	summer	summer	autumn	spring	summer	summer	autumn	spring	summer	summer	autumn	spring	summer								
	5	4	3	5	5	4	3	5	5	4	3	5	5	4	3	5	5	4	3	5	5	4	3	5								
Months funding applicable in each term:																																
Southend home resident pupil numbers only	Apr-22 to Mar-23 Sept-22 to Aug-23				2023/24				Apr-22 to Mar-23 Sept-22 to Aug-23				2023/24				Apr-22 to Mar-23 Sept-22 to Aug-23				2023/24				Apr-22 to Mar-23 Sept-22 to Aug-23				2023/24			
	Term3	Term1	Term2	Term3	Term3	Term1	Term2	Term3	Term3	Term1	Term2	Term3	Term3	Term1	Term2	Term3	Term3	Term1	Term2	Term3	Term3	Term1	Term2	Term3	Term3	Term1	Term2	Term3	Term3	Term1	Term2	Term3
Top up Funding - SP - St Christopher's Academy (Pre 16)	-	-	-	-	32	29	29	29	22	19	19	19	76	72	72	72	27	30	30	30	33	41	41	41	-	-	-	-	-	-	-	-
Top up Funding - SP - St Nicholas Special School	-	-	-	-	-	-	-	-	5	4	4	4	5	3	3	3	65	70	70	70	-	-	-	-	-	-	-	-	-	-	-	-
Top up Funding - SP - Kingsdown Special School	12	10	10	10	25	23	23	23	26	25	25	25	42	38	38	38	5	12	12	12	-	1	1	1	-	-	-	-	-	-	-	-
Top up Funding - SP - Lancaster Special School (Pre 16)	2	6	6	6	3	4	4	4	4	6	8	8	6	10	10	10	13	14	14	14	-	-	-	-	-	-	-	-	-	-	-	-
Top up Funding - SP - St Christopher's Academy (Post 16)	-	-	-	-	-	-	-	-	5	7	7	7	-	1	1	1	-	2	2	2	-	3	3	3	-	-	-	-	-	-	-	-
Top up Funding - SP - Lancaster Special School (Post 16)	7	4	4	4	-	1	1	1	5	6	6	6	12	16	16	16	25	29	29	29	-	-	-	-	-	-	-	-	-	-	-	-
Top up Funding - SP - Sutton house - Special School	15	16	16	16	18	17	17	17	22	21	21	21	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

	summer	autumn	spring	summer
Total	5	4	3	5
Total	514	542	542	542

FYI

Total Place no.s

22/23

	Apr-22 to Mar-23 Sept-22 to Aug-23				2023/24				Apr-22 to Mar-23 Sept-22 to Aug-23				2023/24				Apr-22 to Mar-23 Sept-22 to Aug-23				2023/24				Apr-22 to Mar-23 Sept-22 to Aug-23				2023/24			
	Term3	Term1	Term2	Term3	Term3	Term1	Term2	Term3	Term3	Term1	Term2	Term3	Term3	Term1	Term2	Term3	Term3	Term1	Term2	Term3	Term3	Term1	Term2	Term3	Term3	Term1	Term2	Term3	Term3	Term1	Term2	Term3
	Top up Funding - SP - St Christopher's Academy (Pre 16)	15,994	16,794	16,794	16,794	12,538	13,165	13,165	13,165	11,557	12,135	12,135	12,135	10,249	10,761	10,761	10,761	8,978	9,427	9,427	9,427	9,427	7,518	7,894	7,894	7,894	7,894	7,894	7,894	7,894	7,894	7,894
Top up Funding - SP - St Nicholas Special School	15,994	16,794	16,794	16,794	12,538	13,165	13,165	13,165	11,557	12,135	12,135	12,135	10,249	10,761	10,761	10,761	8,978	9,427	9,427	9,427	9,427	7,518	7,894	7,894	7,894	7,894	7,894	7,894	7,894	7,894	7,894	7,894
Top up Funding - SP - Kingsdown Special School	15,994	16,794	16,794	16,794	12,538	13,165	13,165	13,165	11,557	12,135	12,135	12,135	10,249	10,761	10,761	10,761	8,978	9,427	9,427	9,427	9,427	7,518	7,894	7,894	7,894	7,894	7,894	7,894	7,894	7,894	7,894	7,894
Top up Funding - SP - Lancaster Special School (Pre 16)	15,994	16,794	16,794	16,794	12,538	13,165	13,165	13,165	11,557	12,135	12,135	12,135	10,249	10,761	10,761	10,761	8,978	9,427	9,427	9,427	9,427	7,518	7,894	7,894	7,894	7,894	7,894	7,894	7,894	7,894	7,894	7,894
Top up Funding - SP - St Christopher's Academy (Post 16)	15,994	16,794	16,794	16,794	12,538	13,165	13,165	13,165	11,557	12,135	12,135	12,135	10,249	10,761	10,761	10,761	8,978	9,427	9,427	9,427	9,427	7,518	7,894	7,894	7,894	7,894	7,894	7,894	7,894	7,894	7,894	7,894
Top up Funding - SP - Lancaster Special School (Post 16)	15,994	16,794	16,794	16,794	12,538	13,165	13,165	13,165	11,557	12,135	12,135	12,135	10,249	10,761	10,761	10,761	8,978	9,427	9,427	9,427	9,427	7,518	7,894	7,894	7,894	7,894	7,894	7,894	7,894	7,894	7,894	7,894
Top up Funding - SP - Sutton house - Special School	15,994	16,794	16,794	16,794	12,538	13,165	13,165	13,165	11,557	12,135	12,135	12,135	10,249	10,761	10,761	10,761	8,978	9,427	9,427	9,427	9,427	7,518	7,894	7,894	7,894	7,894	7,894	7,894	7,894	7,894	7,894	7,894

Total	514	542	542	542
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	Apr-22 to Mar-23 Sept-22 to Aug-23				2023/24				Apr-22 to Mar-23 Sept-22 to Aug-23				2023/24				Apr-22 to Mar-23 Sept-22 to Aug-23				2023/24				Apr-22 to Mar-23 Sept-22 to Aug-23				2023/24			
	Term3	Term1	Term2	Term3	Term3	Term1	Term2	Term3	Term3	Term1	Term2	Term3	Term3	Term1	Term2	Term3	Term3	Term1	Term2	Term3	Term3	Term1	Term2	Term3	Term3	Term1	Term2	Term3	Term3	Term1	Term2	Term3
	Top up Funding - SP - St Christopher's Academy (Pre 16)	-	-	-	-	167,173	127,262	95,446	159,077	105,939	76,855	57,641	96,069	324,552	258,264	193,698	322,830	101,003	94,270	70,703	117,838	103,373	107,885	80,914	134,856	-	-	-	-	-	-	-
Top up Funding - SP - St Nicholas Special School	-	-	-	-	-	-	-	-	24,077	16,180	12,135	20,225	21,352	10,761	8,071	13,451	243,154	219,963	164,973	274,954	-	-	-	-	-	-	-	-	-	-	-	-
Top up Funding - SP - Kingsdown Special School	79,970	55,980	41,985	69,975	130,604	100,932	75,699	126,165	125,201	101,125	75,844	126,406	179,358	136,306	102,230	170,383	18,704	37,708	28,281	47,135	-	2,631	1,974	3,289	-	-	-	-	-	-	-	-
Top up Funding - SP - Lancaster Special School (Pre 16)	13,328	33,588	25,191	41,985	15,673	17,553	13,165	21,942	28,893	32,360	24,270	40,450	25,623	35,870	26,903	44,838	48,631	43,993	32,995	54,991	-	-	-	-	-	-	-	-	-	-	-	-
Top up Funding - SP - St Christopher's Academy (Post 16)	-	-	-	-	-	-	-	-	24,077	28,315	21,236	35,394	-	3,587	2,690	4,484	-	6,285	4,714	7,856	-	7,894	5,921	9,868	-	-	-	-	-	-	-	-
Top up Funding - SP - Lancaster Special School (Post 16)	46,649	22,392	16,794	27,990	-	4,388	3,291	5,485	24,077	24,270	18,203	30,338	51,245	57,392	43,044	71,740	93,521	91,128	68,346	113,910	-	-	-	-	-	-	-	-	-	-	-	-
Top up Funding - SP - Sutton house - Special School	99,963	89,568	67,176	111,960	94,035	74,602	55,951	93,252	105,939	84,945	63,709	106,181	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

Total	a	b	c	d	e = a + b + c	f = b + c + d
Total	2,296,112	2,004,251	1,503,188	2,505,314	5,803,551	6,012,753

Appendix 4 - Enhanced main stream units top up funding 2022/23 schedule

2022/23 Academic Year

School	2022/23							Total No.s	Total Annual cost £'s
	Band7 Profile*	Band6 Profile*	Band5 Profile*	Band4 Profile*	Band3 Profile*	Band2 Profile*	Band1 Profile*		
Chase			3		3	4		10	46,947
Shoeburyness	2	1	4	3	5	3		18	111,484
Southend High School for Boys - tbc									
Subtotal - Secondary Schools									158,431
Temple Sutton	1	2	6	1	1			11	87,823
Fairways					1	1	5	7	13,918
Blenheim		1	3	2				6	46,623
Greenways - tbc									
Hamstel	1	2	1	1				5	44,266
Subtotal - Primary Schools								-	192,630
Grand Total	4	6	17	7	10	8	5	57	351,061

* based on current planned occupancy from Sept 22

Band	From Sept 22 Annual Rates
7	10,761
6	9,427
5	7,894
4	6,757
3	4,087
2	2,751
1	1,416

Appendix 5 - Main Stream Schools top up funding from Sept 22

'Recommend, if printed, to print in A3 Landscape

School	2022/23											Estimated cost £'s for Sept 22- Aug 23
	Band10 Profile	Band9 Profile	Band8 Profile	Band7 Profile	Band6 Profile	Band5 Profile	Band4 Profile	Band3 Profile	Band2 Profile	Band1 Profile	Total No.s	
Barons Court Primary School and Nursery					2	2	2	5		2	13	71,423
Belfairs Academy							2	6	6	5	19	61,622
Blenheim Primary School				1	2	1	10	3	1		18	120,091
Bournemouth Park Primary School					1		4	3	1	2	11	54,299
Bournes Green Infant School									1		1	2,751
Bournes Green Junior School				1		1	3	1		1	7	44,429
Cecil Jones Academy						1	5	5	4	1	16	74,534
Chalkwell Hall Infant School						1	1				2	14,651
Chalkwell Hall Junior School					1	1	3	4		2	11	56,772
Chase High School				1		1	2	5	3	3	15	65,105
Darlinghurst Academy					1		1	2			4	24,358
Earls Hall Primary School				1	3	1	9	2	1		17	118,674
Eastwood Primary School and Nursery					1	1	2	1		1	6	36,338
Edwards Hall Primary School							1	1	1	1	4	15,011
Fairways Primary School				1			1	4		1	7	35,282
Friars Primary School and Nursery						1		1		1	3	13,397
Greenways Primary School				1	3		9	3	2	2	20	120,450
Hamstel Infant School and Nursery					1	2	2		1		6	41,480
Hamstel Junior School							5	7		3	15	66,642
Heycroft Primary School					3		4		1		8	58,060
Hinguar Community Primary School								2			2	8,174
Leigh North Street Primary School				1			4	2	1		8	48,714
Milton Hall Primary School and Nursery				1	1	3	6	3	1	1	16	100,840
Our Lady of Lourdes Catholic Primary School					1		2	3		1	7	36,618
Porters Grange Primary School and Nursery					1	1	2	1		1	6	36,338
Prince Avenue Academy and Nursery							2	2			4	21,688
Richmond Avenue Primary School					1		1	2	1	1	6	28,525
Sacred Heart Catholic Primary School and Nursery							1				1	6,757
Shoeburyness High School				2	1	3	16	10	7	4	43	228,534
Southchurch High School					1	1	3	4	6	3	18	74,694
Southend High School for Boys					2			2			4	27,028
St Bernard's High School							1	2	2	2	7	23,265
St George's Catholic Primary School							1	1			2	10,844
St Helen's Catholic Primary School					2		5		1		8	55,390
St Mary's, Prittlewell, CofE Primary School				1		1	3	1			6	43,013
St Thomas More High School				1	3		2	8	4	8	26	107,584
Temple Sutton Primary School				1	1	1	3	5			11	68,788
The Eastwood Academy					1	1	6	8	1	10	27	107,470
The Westborough Academy				1	1		1	1			4	31,032
Thorpedene Primary School					2	1	1	2			6	41,679
West Leigh Infant School						1	1	2			4	22,825
West Leigh Junior School					1		1	4			6	32,532
Westcliff High School for Girls	1				1						2	26,221
	1	-	-	14	38	26	128	118	46	56	427	2,283,922

Appendix 6 - 2020/21 DfE Teacher pay and employer pension grant allocations (to be paid out through DSG in 2021/22 and 2022/23)

School	A			B			A + B
	Teacher pay grant allocation 2020/21			Teacher pay grant allocation 2020/21			2021/22 to be paid out
	April 2020 to August 2020			September 2020 to March 2021			Total
	Places	Rate	Allocation	Places	Rate	Allocation	
The St Christopher School	230	£72.91	£16,770.00	235	£102.08	£23,989.00	£40,759.00
Southend YMCA Community School	50	£72.91	£3,646.00	50	£102.08	£5,104.00	£8,750.00
Victory Park Academy	75	£72.91	£5,469.00	75	£102.08	£7,656.00	£13,125.00
Sutton House Academy	50	£72.91	£3,646.00	60	£102.08	£6,125.00	£9,771.00
St Nicholas School	92	£72.91	£6,708.00	92	£102.08	£9,392.00	£16,100.00
Kingsdown School	120	£72.91	£8,750.00	120	£102.08	£12,250.00	£21,000.00
Lancaster School	82	£72.91	£5,979.00	90	£102.08	£9,188.00	£15,167.00
			£50,968.00			£73,704.00	£124,672.00

Teacher employer pension grant allocation 2020/21

School	A			B			A + B
	Teacher employer pension grant allocation 2020/21			Teacher employer pension grant allocation 2020/21			2021/22 to be paid out
	April 2020 to August 2020			September 2020 to March 2021			Total
	Places	Rate	Allocation	Places	Rate	Allocation	
The St Christopher School	230	£202.06	£46,474.00	235	£282.89	£66,480.00	£112,954.00
Southend YMCA Community School	50	£202.06	£10,103.00	50	£282.89	£14,145.00	£24,248.00
Victory Park Academy	75	£202.06	£15,155.00	75	£282.89	£21,217.00	£36,372.00
Sutton House Academy	50	£202.06	£10,103.00	60	£282.89	£16,974.00	£27,077.00
St Nicholas School	92	£202.06	£18,590.00	92	£282.89	£26,026.00	£44,616.00
Kingsdown School	120	£202.06	£24,248.00	120	£282.89	£33,947.00	£58,195.00
Lancaster School	82	£202.06	£16,569.00	90	£282.89	£25,461.00	£42,030.00
			£141,242.00			£204,250.00	£345,492.00
							£470,164.00

Appendix 7 - Special School flexible place funding from Sept 2022

		% Point above core place funded number and additional place number									
Core place funded number		1%	2%	3%	4%	5%	6%	7%	8%	9%	10%
Kingsdown	120	1	2	3	4	6	7	8	9	10	12
Lancaster	120	1	2	3	4	6	7	8	9	10	12
St Christopher	235	2	4	7	9	11	14	16	18	21	23
St Nicholas	92	0	1	2	3	4	5	6	7	8	9
Annual amount honoured for each school above when the criteria is met (pro'rata'd to the applicable months in the academic year) :-		£4,000	£8,000	£12,000	£16,000	£20,000	£24,000	£28,000	£32,000	£36,000	£40,000
Sutton House	65	0	1	1	2	3	3	4	5	5	6
Annual amount honoured for Sutton House when the criteria is met (pro rata'd to the applicable months in the academic year) :-			£10,000	£16,000	£22,000	£28,000	£34,000	£40,000			

Appendix 8 - Special Schools and Alternative Provision - Health and Social Care National Insurance Compensation model from Apr-22

Recommend, if printed, to print in A3 Landscape

	Current Place no.		Annual Place Funding	Jan-22			Southend only		Apr 22 to Mar 23 Paid through High Needs - add't top ups		Total Current Funding Place and Top ups	Assumption	Additional NI Levy	Additional NI Levy	NI Levy funding above NI Levy cost
	From Sept 22	no.		Southend Current Top up no.s	Annual Top ups - current est. on current rates	Other LA top ups no.s est.	Other LA top ups. Est.	2021/22	2021/22	Staffing costs as a % of funding		on top of Staffing costs	Awarded on top up rates		
								20/21 Teacher Pay grant	20/21 Teacher employer pension grant	90%		NI Levy 1.25%	2.60%		
SP - St Christopher's Academy (Pre 16)	228	228	£2,280,000	192	£1,894,310	36	£355,183	£39,545	£109,589	£4,678,627	£4,210,765	£52,635	£58,487	£5,852	
SP - St Nicholas Special School	92	92	£920,000	71	£638,747	21	£188,925	£16,100	£44,616	£1,808,388	£1,627,549	£20,344	£21,519	£1,175	
SP - Kingsdown Special School	120	120	£1,200,000	111	£1,265,522	9	£102,610	£21,000	£58,195	£2,647,327	£2,382,594	£29,782	£35,571	£5,789	
SP - Lancaster Special School (Pre 16)	28	38	£338,333	28	£277,818	-	£0	£5,240	£14,519	£635,910	£572,319	£7,154	£7,223	£69	
SP - St Christopher's Academy (Post 16)	7	7	£70,000	5	£56,319	2	£22,528	£1,214	£3,365	£153,425	£138,083	£1,726	£2,050	£324	
SP - Lancaster Special School (Post 16)	72	72	£720,000	49	£504,045	23	£236,593	£9,927	£27,511	£1,498,076	£1,348,268	£16,853	£19,257	£2,403	
SP - Sutton house - Special School	60	65	£629,167	51	£645,971	9	£113,995	£9,771	£27,077	£1,425,981	£1,283,383	£16,042	£19,759	£3,717	
	607	622	£6,157,500	507	£5,282,732	100	£1,019,833	£102,797	£284,872	£12,847,734	£11,562,961	£144,537	£163,867	£19,330	
AP - Victory Park	85	85	£850,000	85	£707,200	-	£0	£13,125	£36,372	£1,606,697	£1,446,027	£18,075	£18,387	£312	
	692	707	£7,007,500	592	£5,989,932	100	£1,019,833	£115,922	£321,244	£14,454,431	£13,008,988	£162,612	£182,254	£19,642	
	% of total funding		48.5%	41.4%			7.1%	0.8%	2.2%						

Annual EHCP top up rates

Band	Current Banded rates	Revised banded Rates from Apr-22
10	£15,589	£15,994
9	£12,220	£12,538
8	£11,264	£11,557
7	£9,989	£10,249
6	£8,750	£8,978
5	£7,327	£7,518
4	£6,272	£6,435
3	£3,793	£3,892
2	£2,554	£2,620
1	£1,315	£1,349

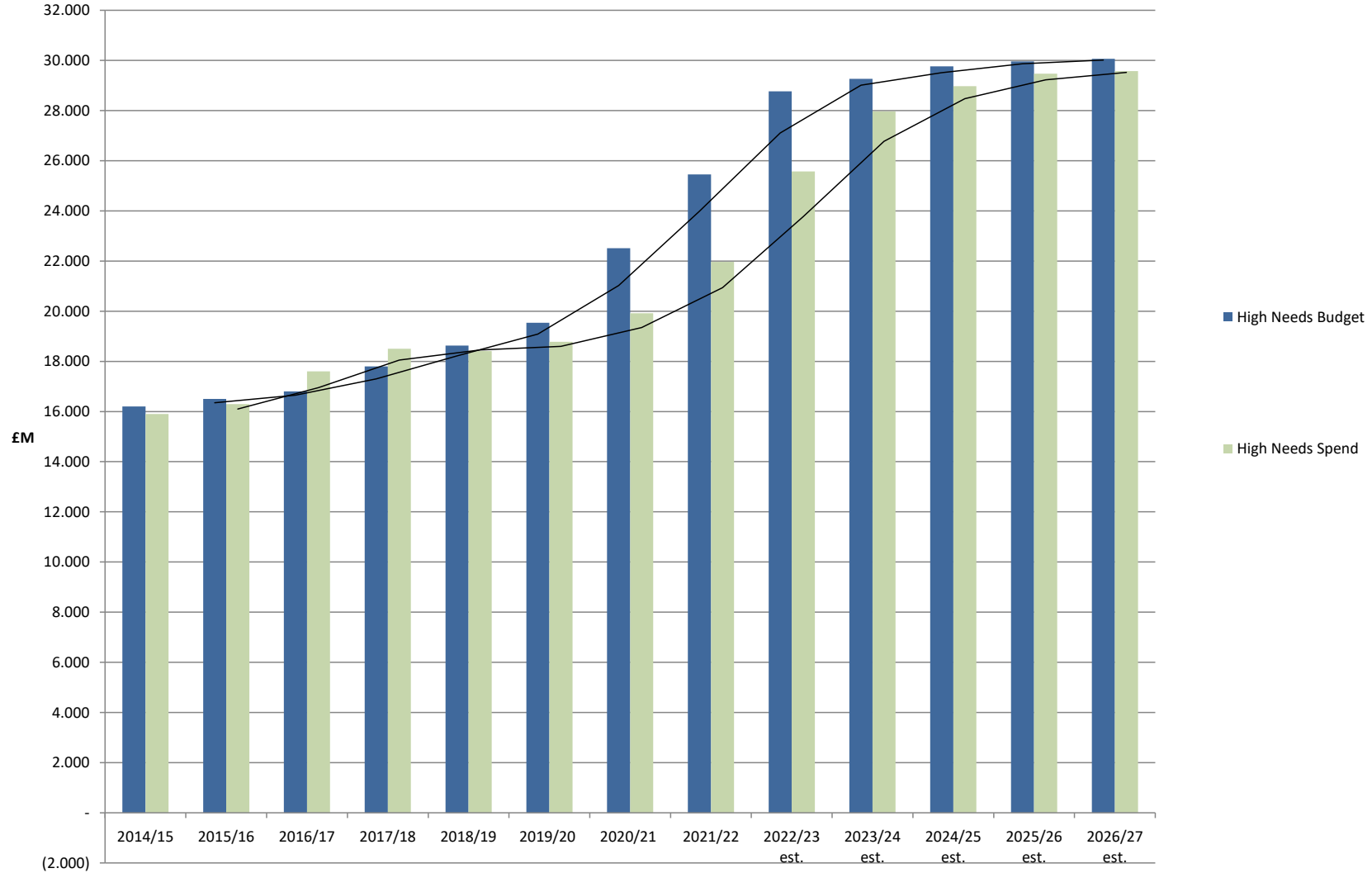
Annual AP Top up banded rate

Current Banded rates	Revised banded Rate from Apr-22
£8,320	£8,536

ct 0

Note - as Southend now operates under a EHCP banded model. All top up rates will be uplifted by 2.6% - which will also cover inborough Mainstream Schools (at an additional est. cost of £63k per annum) and Enhanced Mainstream bases (at an additional est. cost of £10k per annum) The 2.6% top up funded rate for inborough mainstream schools will support the hourly rate paid for LSA support (including the health and social caer NI levy where required), which is above, a schools notional £6k annual funding allocation per SEND pupil.

Appendix 9 - Southend DSG High Need Funding and Spend



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Southend Education Board (EB), Resources Sub Group (RSG)

7th June 2022, 4.15-5.45, (held virtually on-line through MS teams)

DRAFT Minutes of the meeting

10

Membership of the sub group for Resources	(nominations received) representation
<p>3 nominations from the Education Board (Headteacher/Governor)</p> <p>4 other members nominated from the four associations</p> <p>1 school business manager nominated from the RSG</p> <p>Council Officer representation as required for the papers</p>	<p>Robin Bevan (secondary) CHAIR Jane Ladner (secondary, governor) Stuart Reynolds (secondary)</p> <p>Andy Douglas (primary) Vicky Wright (early years) Jackie Mullan (special) Anthony McGarel (post 16)</p> <p>Simon Oxenham (SHSB)</p> <p>Paul Grout (Senior Finance Business Partner) Gary Bloom (Head of SEND) Cathy Braun (Head of Access and Inclusion)</p> <p>cc. Brin Martin (Director of Education and Early Years) Elaine Hammans (Head of Early Years)</p>

Time	Agenda item	Decision? Action
4.15	<p>Membership Apologies</p> <p>And council officer representation as required for papers</p> <p>Officers present:</p> <p>Paul Grout Gary Bloom Cathy Braun</p>	<p>Apologies from Simon Oxenham</p> <p>No attendance or previous apologies from Andy Douglas or Anthony McGarel:</p> <p>Andy provided subsequent apologies, (post action Robin – followed up)</p> <p>Anthony, is a usual frequent and welcome attender to RSG. No follow up required on this occasion, given the paper topics.</p>
	Membership Vacancies	No vacancies
4.20	Minutes of the last meeting 30.11.21	No amendments. Minutes agreed, all actions complete and now Final.

<p>4.25</p>	<p>Dedicated Schools Grant (DSG) 2021-22 Final Outturn</p> <p>(DRAFT Paper supplied)</p> <p>Officer Lead: Paul Grout</p>	<p>Key discussion items:</p> <p>A debate around the High Needs underspend for 2021/22, given regionally majority of Local Authorities are in high needs in year deficits:</p> <p>It is recognised, that the additional DSG funding to Southend over the last 3 years, is incredibly welcome, but strain on high needs demand and particularly pressures for Special Schools places is feeling that heavy demand. It is also recognised, because of our 5 special schools, this helps significantly to mitigate costs on otherwise independent provider placements where other local authorities are clearly struggling and will be a key driver of their financial pressures.</p> <p>This recognition and support continues to follow and pressures in cost for our special schools in the following 2022/23 paper, and with due consideration to further top up rate increases to be applied, including inflationary pressures within.</p> <p>It was also recognised, there have been no uplifts applied to some existing SLA agreements for some time. This ask will be rectified and agreement sought in the following 2022/23 paper.</p> <p>Paper amendments agreed:</p> <p>Para 4.9. For Early Years, expand that all funding that was expected to be given out, was given out and underspend has simply arisen due to funding in v funding out.</p> <p>Para 4.19. Just emphasise in words, the fact, at this time there is now a positive £4M one off High need funding available within DSG reserve balance, with due consideration to</p>
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		recommended minimum reserve balances previously agreed and the governance considered for administering that one off spend.
4.45	<p>DSG High Needs budget allocation report 2022-23</p> <p>(DRAFT Paper supplied)</p> <p>Officer Lead: Paul Grout</p> <p>In consultation with</p> <p>Gary Bloom Cathy Braun Brin Martin</p>	<p>Paper amendments agreed:</p> <p>Para. 6.1 – Further budget provision to recognise an annual £90k place funding provision for a further new primary autism base and further top up provision (under Para 7.4 and 7.5), very recent progress has been made that is now subject to final DfE agreement.</p> <p>7.3.2 – present that there is a choice to uplift top up rates can be either no uplift, MFG reduction, or upto 5 %, as oppose straight current 4% (within body or report).</p> <p>And Recommended 5% in view of funding available to support inflationary CPI pressures and expected further teaching salaries, across all EHCP banded levels and the Pupil Referral Unit.</p> <p>Para 10.3 Interim Tuition Service – finish in relation to the detail of the ITS and EHE demand paper that will be presented by Cathy for EB approval in June, ahead of the High Needs DSG paper.</p> <p>Para 10.8 Elective Home Education (non-EHCP) – finish in relation to the detail of the ITS and EHE demand paper that will be presented by Cathy for EB approval in June, ahead of the High Needs DSG paper.</p> <p>Under recommendation 2.5, expand that this recommendation is also in view of the previous papers to presented at Education Board by both Cathy (ITS And EHE paper) and Brin’s paper in relation to the strategic intent and</p>

		<p>ambitions in view of the SEND Green paper, again that will be presented to EB ahead of this DSG High Needs paper.</p> <p>Under 11.1 (emphasise duties of SEND green paper). That all schools should seek to support children with SEND ideally within mainstream settings where possible.</p> <p>Update all table allocations and budget provisions where required, in view of changes within the DRAFT high need 2223 paper held for discussion at RSG.</p> <p>And update Appendix - Chart 9, in view of expected additional spend position.</p>
5.30	<p>AOB FYI only: Date of next meetings and current forward plan</p> <p>Future RSG meeting dates – MS Teams or Southend High School for boys 4:15 pm to 5.30/6.00 pm current planned papers ahead of Education Board (EB):</p> <p>October 2022 (Date tbc ahead of EB date tbc)</p> <ul style="list-style-type: none"> • DSG 2023/24 Indicative budget allocations including 2023/24 Individual School Block (ISB) allocations. <p>December 2022 (Date tbc ahead of EB date tbc)</p> <ul style="list-style-type: none"> • DSG 2023/24 Final Budget planning including ISB allocations and Forecast Outturn 2022/23 <p>June 2023 (Date tbc ahead of EB date tbc)</p> <ul style="list-style-type: none"> • DSG Final Outturn 2022/23 • DSG High Need detailed budget allocations 2023/24 	<p>No AOB – current schedule of forward papers noted.</p>

SOUTHEND-ON-SEA EDUCATION BOARD, VULNERABLE LEARNERS SUB GROUP

Minutes – 28th April 2022

Date:	Thursday 28 th April 2022
Time:	1.30pm – 3.30pm
Place:	Microsoft Teams

Membership: Brin Martin, SBC Amanda Champ, SBC Jackie Mullan, St Christophers School Julia Jones, Barons Court Lisa Clark, Hamstel Infants School Lesley Yelland, Early Years, SBC Cathy Braun, Access and Inclusion, SBC Vacancy Vacancy Mark Aspel, Parallel Learning Trust Sue Walsh– Safeguarding Manager SEEC Helen Rudman – Early Help Team, SBC Steve Cornwall – SBC Social Care Sarah Greaves, Virtual Headteacher, SBC Gary Bloom, Head of SEND, SBC Julie Hollingsworth, SEND, SBC Richard Warren, Operational Performance and Intelligence Team Wendy Hackett – Youth and Connexions Taz Sayed – Chair of the Clinical Group	Representation Director of Learning School Improvement Education Board Education Board Education Board Early Years Access and Inclusion Secondary Special/AP Academy Trust Post 16 Youth Offending Social Care Virtual School SEND SEND Data Team Youth and Connexions CCG	Other attendees: Jana Kenton (PA to Director of Learning)
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APOLOGIES AND WELCOME

Apologies were received by Wendy Hackett, Sue Walsh, Taz Sayed and Debbie Butcher. All members were welcomed to the meeting which was held virtually by Teams.

MINUTES OF THE PREVIOUS MEETING

The minutes of the previous meeting on 03.02.22 were agreed and approved and any outstanding actions are included on the agenda.

MATTERS ARISING FROM THE PREVIOUS MEETING NOT COVERED ELSEWHERE ON THE AGENDA

- AC and TS to meet outside of the meeting to discuss further progression of the offer.** Amanda confirmed to all members that this meeting has been implemented following the previous VLSG meeting in February 2022.
- JK to send out future invitations to meetings to Helen Rudman (Early Help Team).** This has been actioned and Helen was in attendance at the meeting.
- AC will liaise with LM separately regarding the placement of children with specific needs through the SEND consultation process and a meeting to b established with BM, GB and Headteachers –** It was confirmed to members that this meeting had been implemented following the previous VLSG meeting in February 2022.
- CB and BM would investigate secondary school representation at the VLSG and provide feedback of membership to the VLSG –** It was confirmed that an initial request for secondary school presentation has been made and BM is awaiting confirmation of membership.
- Inclusion Update -** BM advised members that conversations with Headteachers were starting on the 6th May at the Directors Briefing and colleagues attending would have the opportunity to discuss inclusion. BM will be inviting schools to advise of requirements and plans with regards to the start of the journey to support learners with additional needs in mainstream schools across the Local Authority and beyond and the opportunities for support available to schools and the services and facilities which are required. It was outlined that findings from the meeting will be taken to Education Board with proposals and that further updates would be provided to members at subsequent meetings.
- Update on Green Paper –** This reported on the minutes below.

ACCESS AND INCLUSION – VULNERABLE LEARNERS UPDATE

Focus Area of Discussion	Including Previous Actions from 03.02.22
Post 16	a) Verbal Report of current Post 16 matters provided by WH and VLSG members – AC presented on behalf of WH and the presentation provided was shared and discussed at the meeting. NEET/NK for March indicated that the combined NEET and Not Known figure was 3.2% which was the same as last month's figure. It sees Southend remain in the 2nd Quintile for this measure. This month's figure is below the national average of 4.5% and below the statistical neighbour average of 5.2%. This low comparable figure is

	<p>a reflection of sustained good work from Connexions but is a decline on last year where the 2021 March figure was 2.9%. NEET for March 2022 remained at 1.9%. This level is below the national average of 2.7% and below the statistical neighbour average of 3.1%, we have remained in the 2nd Quintile in this measure. NK for March has decreased to 1.2%. This March figure is below the national average of 1.8% and is below the statistical neighbour average of 2.1%.. We have remained in the 3rd Quintile in this measure. There is also a 0.2% decline on last years position for March 2021. Participation-For March the participation figure is 95.3% of young people in Southend aged 16-17 in some form of education and training. There is a slight decrease on March 2021 where participation was at 95.9%. This is still above the national (92.9%) and our statistical neighbour (90.7%) averages. Southend has ranking this month of 39.</p>																																																															
Attendance	<ul style="list-style-type: none"> • Cathy Braun to provide an update following the previous meeting on 'How attendance across all schools be improved as a collective strategy. <p>CB provided an update to members on the school attendance strategy. Based on Census Data within the national Autumn Term return Southend is identified with 5.9% absence rate which is an increase from last year by 1.6% - 0.1% higher than national. The consensus is to encourage attendance of all pupils, even with cold symptoms and for schools to encourage parents to send children to school if they are well enough, which across the Local Authority hopes to see an improvement within the Summer Term. It was outlined that there are currently 13 primary schools with higher than national average absence and the team will be meeting with each school to evaluate strategies to enhance attendance for pupils. A discussion between members took place to discuss the attendance of vulnerable learners. To aid this strategic discussion:</p> <p>SG – Presented further on the agenda focusing on LAC attendance and vulnerable cohorts.</p> <p>LC – Expressed concerns regarding lack of immunity in children in relation to young children illnesses due to lockdowns and social distancing.</p> <p>It was recognised that advice from Health and working with Public Health was desirable, especially regarding support which can be provided for children who did not attend inoculations and the rise in dental issues post lockdown. It was also advised that medical appointments are also affecting attendance figures. It was also outlined to members that schools have different strategies to approaching authorisation of holidays and it was recognised that this was down to the discretion of Headteachers and therefore further impact on attendance figures were affected across schools.</p> <p>CB presented to the VLSG members the secondary attendance figures - absence published as 7.8%, with persistent absence at 28.6%. It was outlined that this was an increase from last year, but lower than national. There has been an overall improvement of attendance within 8 out of the 11 secondary schools. Special schools absence rates were also shared with members which identifies 1.9% lower than national. From Autumn two schools have been higher than national average and again the team will be meeting with leaders of these schools in the near future. CB advised the group that all schools have been advised not to use code X unless the whole class has been closed following the advice of public health advisors. It was also outlined to members that there is a significant focus by the DfE on attendance to ensure that robust systems are in place within all schools with strategic monitoring by the Local Authority.</p> <ul style="list-style-type: none"> • Social Care representatives to provide feedback to members on the risk assessment process for all children identified as CIN or CP regarding school attendance. <p>CB provided an update to members on the school attendance partnership strategy. The group were informed that a 'How to' guide has been written when Social workers are working with children of school age to enhance the working partnerships. The team have revised the policy, guidance and a revised offer went to schools last September which has been shared with schools across the Local Authority.</p> <p>Future Actions:</p> <ul style="list-style-type: none"> • To receive further updates on the impact of strategies implemented for supported schools to enhance attendance. 																																																															
EHE	<ul style="list-style-type: none"> • GB to provide a breakdown for EHE with an EHCP and the reason and category of need. Although GB was not in attendance at the meeting, subsequent information was requested by the Chair and is included in the minutes. There are currently 11 EHE students supported by an EHCP with the Category of Need and Year Group highlighted below. <table border="1" data-bbox="312 1525 1283 1921"> <thead> <tr> <th>Year Group</th> <th>ASD</th> <th>PD</th> <th>SEMH</th> <th>SLCN</th> <th>SLD</th> <th>Total</th> </tr> </thead> <tbody> <tr> <td>1</td> <td></td> <td>1</td> <td></td> <td></td> <td></td> <td>1</td> </tr> <tr> <td>2</td> <td>1</td> <td>1</td> <td></td> <td></td> <td></td> <td>2</td> </tr> <tr> <td>5</td> <td>1</td> <td></td> <td></td> <td></td> <td></td> <td>1</td> </tr> <tr> <td>7</td> <td>1</td> <td></td> <td></td> <td>1</td> <td>1</td> <td>3</td> </tr> <tr> <td>9</td> <td></td> <td></td> <td>1</td> <td></td> <td></td> <td>1</td> </tr> <tr> <td>10</td> <td>1</td> <td></td> <td></td> <td></td> <td></td> <td>1</td> </tr> <tr> <td>11</td> <td>1</td> <td></td> <td>1</td> <td></td> <td></td> <td>2</td> </tr> <tr> <td>Total</td> <td>5</td> <td>2</td> <td>2</td> <td>1</td> <td>1</td> <td>11</td> </tr> </tbody> </table> <p>A further verbal Report of current EHE matters was provided by CB to all members where highlights were provided on the key sharing of information across all agencies following the EHE process.</p>	Year Group	ASD	PD	SEMH	SLCN	SLD	Total	1		1				1	2	1	1				2	5	1					1	7	1			1	1	3	9			1			1	10	1					1	11	1		1			2	Total	5	2	2	1	1	11
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Disadvantaged Pupils	<ul style="list-style-type: none"> • To receive feedback from Helen Rudman regarding the EHFSAs documentation as a multi-agency referral form for a wider range of agency support. 																																																															

	<p>HR provided an update to members on the EHFSa documentation. It was outlined that this is a multi-agency referral form and that work has started on the assessment and the referral form evaluation following outcomes from the previous VLsg meeting. Last week confirmation was received for the Family Funding and the multi-agency group will work on the assessment and form with initial drafts currently being underway.</p> <ul style="list-style-type: none"> • To receive and discuss the Alternative Provision reports - MA shared all reports to members of the group. It was advised that due to the complex needs of pupils the service is aiming to get earlier intervention to schools. Attendance is reasonable and higher than national average and is currently identified at 96%. It was outlined that the NEET current cohort are predicted to achieve well in exams and robust systems of tracking are embedded across the provision. It is predicted that 78% of students will achieve 9-4 within their GCSEs. The recent OFSTED inspection identified the lack of phonics system and MA outlined measures which are currently being implemented to address this area. • To receive and discuss the Alternative Provision reports - CB updated members on the reports sent by the Inclusion Team concerning Interim Tuition. It was outlined that options for appraisal for the service area and recommendations would be presented to the Education Board. Any comments and feedback on the reports received were requested to be forwarded to CB which will support the end paper that will be submitted to VLsg at the next meeting. <p>A question was raised regarding the safeguarding self-evaluation audit (Section 175) as the last one completed by schools was in February 2020 and that schools have not received any further correspondence. Feedback was provided by LY who outlined that discussions are currently being implemented and that schools would receive further information by June 2022.</p> <p>Future Actions:</p> <ul style="list-style-type: none"> • To receive further feedback from HR on the work being undertaken on the EHFSa as a multi-agency referral process. • To receive feedback following the presentation at the Education Board.
SEND	<ul style="list-style-type: none"> • To receive a verbal report on current SEND matters provided by GB, JH, BM and VLsg Members - JH advised members that the SENCO meeting took place on the 27th April 2022 with the majority of schools from Southend attending. Follow up meetings are scheduled with SENCOs interested in develop further effective transitional work which will be shared at the next VLsg meeting. <p>A question was raised regarding the EHC Hub and the contribution of health to Annual Reviews – it was agreed by members that LM will provide an overview of the multi-agency approach and impact for students and families at the next VLsg meeting.</p> <p>Future Actions:</p> <ul style="list-style-type: none"> • To receive a report from the SEND Team on the contributions from external contributions at the Annual Review meetings through multi-agency working on the EHC Hub.
CLA	<ul style="list-style-type: none"> • SG to evaluate attendance of LAC pupils and provide an update at the meeting. • SG to share at the meeting the half academic year review for discussion. • SG to provide further information on the Anglia and Ruskin University mentoring session, • To receive the Virtual School Report for sharing and discussion at the meeting. <p>SG shared the Virtual School presentation and the outcomes for KPIs to all members and questions for discussion were invited from the group.</p> <p>LC requested clarification on LAC pupils attending good and outstanding schools and this was confirmed in relation to Southend LAC whether they are placed in Southend or out of Borough schools as 81%. SG advised members that the Virtual School actively place children in good and outstanding schools and although there are some schools that are attended by LAC pupils that might not fall into these categories the team fully evaluate the need of the child and the individual circumstances. BM is fully supportive of this approach and view where LAC find themselves in less than a good school.</p> <p>SG shared the Report re LAC pupil attendance – which indicated there has been a year on year 3 year improvement with attendance now at 96% with the team trying to bring this in line with national benchmarking for all pupils. Attendance since September 2021 for all LAC students is between 91-93% and currently the Virtual School is not able to present up to date information to compare with the statistical neighbour as the SFR information has not released – no data info from DfE, however it was reported that all absence data is better than national and the statistical neighbour.</p> <p>A breakdown for primary and secondary absence was shared with the group and it was highlighted that impacting factors are identified as absconding, missing, episodes, issue re reunification, fixed term inclusions and medical appointments. Persistent absence is in line with national and better than statistical neighbour, however it is recognised that there are still concerns regarding attendance of LAC pupils. There are currently 17 CIN pupils and that there continues to be concerns regarding the attendance of CP pupils. The Virtual School is currently reviewing expectations to review the absence through banding in 5% increments so that there is a clear line of escalation. The Virtual School are also looking at different structures as predominantly secondary school support is needed. It was agreed that this proposed change to structure would be shared at the next VLsg meeting.</p> <p>A question was raised regarding whether social workers were given advice on attendance following contact with welfare call - how quickly does it get flagged up? SG outlined that daily accessing of welfare call information was implemented and as soon as they are aware that a LAC is not attending school this information is provided to ensure that support can be provided if necessary and the Social Worker is advised and the Foster Carer contacted.</p> <p>It was agreed that questions in the PowerPoint will be sent round to members and any questions and feedback will be provided to SG and be put on the agenda for the next meeting.</p> <p>Future Actions:</p> <ul style="list-style-type: none"> • To discuss questions raised by VLsg members regarding the Virtual School Presentation.

OTHER BUSINESS

There were no further items.

Next meeting 23rd June 2022 at 1.30pm

Southend Education Board
School Performance Sub Group (SPSG) Agenda
9th March 2022 at 2-3:30pm @ Tickfield

Membership



Position	Name	Email
Primary Rep	Darren Woollard (Chair)*	darren.woollard@lihtrust.uk
Primary Rep	Jim Johnson*	headteacher@edwardshall.southend.sch.uk
Secondary Rep	David Struthers	d.struthers@setsa.info
Special Rep	VACANCY	
Governor Rep	VACANCY	
Education Board Nominee	VACANCY	
Education Board Nominee	Lisa Clark*	headteacher@hamstel-inf.southend.sch.uk
Education Board Nominee	VACANCY	
Early Years	Vicky Wright	vickywright@southend.gov.uk
Post 16	VACANCY	
Director of Learning	Brin Martin*	brinmartin@southend.gov.uk
Head of school performance and provision services	Amanda Champ	amandachamp@southend.gov.uk
RSC	Sue Baldwin (or representative)	rsc.eastnelondon@education.gov.uk


*denotes attendance

Terms of Reference

- To advise Council/Cabinet and subsequently own a School Performance Strategy for Southend.
- To implement the School Performance Strategy in order to improve performance in all schools.
- To work with schools to periodically collect and analyse performance data (subject to data sharing protocol and agreement on high level data dashboard KPIs).
- To advise Council/Cabinet on the appropriateness of future priorities, targets and measures used to determine progress.
- To advise, monitor and challenge the commissioned support for schools, including core programmes and specific targeted interventions in order to ensure impact and value for money.
- To ensure that the Education Board has the appropriate information about the effectiveness of commissioned support in order to make informed decisions about future expenditure.
- To keep the Regional School Commissioner updated about developments in Southend.

Agenda for the meeting

Agenda Item	Lead	Notes
Welcome and apologies	DW	<p>Attendance for the meeting noted above in the membership table.</p> <p>Apologies were received from David Struthers, Vicky Wright and Amanda Champ.</p>
Notes of the last meeting	DW	The notes were agreed as an accurate summary of the meeting.
Ofsted: consideration of recent and likely future inspections	BM	<p>Brin provided an update on recent Ofsted inspections in Southend:</p> <ul style="list-style-type: none"> ● Richmond (Good) ● St Nicholas (Good) ● Sutton House (RI) ● Kingsdown TBC ● Lancaster TBC ● Chase TBC <p>Other likely inspections were considered and an update was provided on recent early years inspections.</p>
Ofsted: update on regional priorities	BM	<p>Slides below from the recent regional update from Ofsted:</p> <p>Early years </p> <ul style="list-style-type: none"> • Many statistics suggest that inspection outcomes look fairly similar to previous years, but our work took on a different shape. • Children are recovering, but those from challenging family circumstances found it harder to adjust. • Nearly half of providers said that children's PSED, physical and language development fell behind, although some were happier as they spent more time with their parents. Most providers have given more emotional well-being, health and self-care time. • Financial concerns for providers, especially in the most deprived areas, is a concern. This was in part due to a reduction in children on roll and changes to patterns of attendance. • We are concerned by some reports that disadvantaged children, and those with SEND, are less likely to be attending early years provision than before the pandemic. • Summer born entry to Reception is more likely to be deferred than prior to the pandemic: this is a potential research area re. age of children and pandemic. <p>Schools </p> <ul style="list-style-type: none"> • Interim visits were helpful for school leaders in reflecting on priorities during the pandemic. • 1/5 the number of inspections in 2020/21 than in 2018/19. • Autumn 2020: lots of lost school time due to COVID. • Different regions experienced different proportions of COVID related absences. • Studies about remote learning show that children, 'did not learn as much when learning remotely.' 'The loss of in-person schooling affected children differently depending on their age and circumstances, and on the level of support they received at home and from school.' • Primary: summer 2020 – little progress in learning, particularly in reading, including phonics, and maths. • Secondary: home experiences, 'created greater variability in successful learning.' • Both: SEND provision hampered due to 'bubble' arrangements. • The quality of school assessment and curriculum planning was noticeable in summer inspections and this impacted on pupils' recovery. • Mental health challenges increasing according to NHS. • By summer term in good and outstanding special schools, the curriculum was usually in good shape, but the extra-curricular provision was still impacted by the pandemic.

		<p>Regional priorities </p> <ul style="list-style-type: none"> ▪ Tackle the significant gaps in children's and young people's experiences that affect their education and welfare, particularly for those in more deprived circumstances. ▪ Champion, include and support vulnerable children and young people who have suffered particularly during the pandemic. ▪ Ensure attendance on site at education and other settings is high and ensure that those electively home educated are safeguarded and supported to achieve well. ▪ Ensure children and young people access the right high-quality help, care and education, in settings which have registered appropriately. ▪ Safeguard vulnerable children and young people, including those at risk of abuse and exploitation. ▪ Enable children and young people to move onto their next stage through effective transition to enhance their life chances. <p>There is an opportunity to reintroduce a Director's Briefing in the summer term so that school leaders can be updated on these and other matters.</p>
School Data 2022	BM	Autumn term will be the opportunity to look at both the national and local picture of attainment in EY, KS1 and KS2, and to then consider implications for Southend schools, individually and collectively.
Inclusion think piece	BM	Brin shared a think piece, outlining draft plans for improving inclusion in Southend. To be presented at Education Board.
Dates of future meetings	DW	8th June 2022, 2-3:30pm
AOB	All	

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